

FILE NOW: FILING FEE IS \$61.25

NONPROFIT CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 838333 (3)
1. Corporation Name
TUCKER ANTHONY INCORPORATED



Principal Place of Business: **ONE BECON STREET BOSTON MA 02108 US**
Mailing Address: **200 LIBERTY STREET, 1 WORLD FINANCIAL CTR. 3RD FLOOR NEW YORK NY 10281 US**

3. Date Incorporated or Qualified: **05/02/1977**
3a. Date of Last Report: **02/08/1995**
4. FEI Number: **04-2566229**
5. Certificate of Status Desired: **\$8.75 Additional Fee Required**
6. Election Campaign Financing Trust Fund Contribution: **\$5.00 May Be Added to Fees**
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes: Yes No

2. Principal Place of Business (21-24) and 2a. Mailing Address (26-30) fields with sub-headers for Suite, Apt. #, etc., City & State, Zip, and Country.

9. Name and Address of Current Registered Agent: **THE PRENTICE-HALL CORPORATION SYSTEM, INC. 1201 HAYS STREET SUITE 105 TALLAHASSEE FL 32301**
10. Name and Address of New Registered Agent (81-84) fields for Name, Street Address, City, and Zip Code (85).

11. Pursuant to the provisions of Sections 617.0502 and 617.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 617.0503, Florida Statutes.

SIGNATURE: _____ (NOTE: Registered Agent signature required when reinstating) DATE: _____

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN '92	
TITLE	CCD GOLDSMITH, JOHN H ONE BEACON ST BOSTON MA	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		1.2 NAME	
STREET ADDRESS		1.3 STREET ADDRESS	
CITY-ST-ZIP		1.4 CITY-ST-ZIP	
TITLE	PD PASQUALE, THOMAS A 200 LIBERTY STREET NEW YORK NY	2.1 TITLE	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		2.2 NAME	
STREET ADDRESS		2.3 STREET ADDRESS	
CITY-ST-ZIP		2.4 CITY-ST-ZIP	
TITLE	SEV MICHAEL, MICHAEL L ONE BEACON STREET BOSTON MA	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		3.2 NAME	
STREET ADDRESS		3.3 STREET ADDRESS	
CITY-ST-ZIP		3.4 CITY-ST-ZIP	
TITLE	T GILLIGAN, THOMAS 200 LIBERTY STREET NEW YORK NY	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		4.2 NAME	
STREET ADDRESS		4.3 STREET ADDRESS	
CITY-ST-ZIP		4.4 CITY-ST-ZIP	
TITLE	EVD YEVICH, ROBERT H. 200 LIBERTY STREET NEW YORK NY	5.1 TITLE	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		5.2 NAME	
STREET ADDRESS		5.3 STREET ADDRESS	
CITY-ST-ZIP		5.4 CITY-ST-ZIP	
TITLE	EV O'NEILL, ROBERT F. 200 LIBERTY ST. NEW YORK NY	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		6.2 NAME	
STREET ADDRESS		6.3 STREET ADDRESS	
CITY-ST-ZIP		6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Robert H. Yevich* **ROBERT H. YEVICH** 2/5/96 212-225-8050
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E037 (12/95)

ATTACHMENT TO FLORIDA ANNUAL REPORT FORM

Questions #12

<u>TITLE</u>	<u>NAME</u>	<u>ADDRESS</u>	<u>CITY & STATE</u>
E/V	Clive B. Fazioli	1 Beacon Street	Boston, MA
E/V/D	Kevin J. Dunn	1 Beacon Street	Boston, MA
E/V	Lawrence McGuire	1 Beacon Street	Boston, MA
A/S	Paul Lieberman	200 Liberty Street	New York, NY
E/V	Thomas V. Ridge	1 Beacon Street	Boston, MA
D	Lawrence Kirshbaum	200 Liberty Street	New York, NY
D/S	Kevin McKay	200 Liberty Street	New York, NY
CIO	Dennis Cassidy	200 Liberty Street	New York, NY
E/V	Marc Menchel	200 Liberty Street	New York, NY