

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 831700

1. Entity Name

~~HSBC SECURITIES INC~~

HSBC Securities (USA) Inc.

Principal Place of Business

140 BROADWAY
19TH FLOOR
NEW YORK NY 10005-1101
US

Mailing Address

140 BROADWAY
19TH FLOOR
NEW YORK NY 10005-1101
US

2. Principal Place of Business

452 Fifth Avenue

Suite, Apt. #, etc.

Tower 7

City & State

New York, NY 10018

Zip

10018

Country

USA

3. Mailing Address

452 Fifth Avenue

Suite, Apt. #, etc.

Tower 7

City & State

New York, NY

Zip

10018

Country

USA

FILED
Apr 14, 2001 8:00 am
Secretary of State

04-14-2001 90012 030 ***150.00



DO NOT WRITE IN THIS SPACE

4. FEI Number

13-2650272

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE P ☒ Delete
NAME RADEMEYER, ANTHONY F
STREET ADDRESS 140 BROADWAY 18TH FLOOR
CITY-ST-ZIP NEW YORK NY 10005

TITLE P/D ☐ Change ☒ Addition
NAME John B. Griff
STREET ADDRESS 452 Fifth Avenue
CITY-ST-ZIP New York, NY 10018

TITLE D ☐ Delete
NAME HAROLDSON, JEFFREY D.
STREET ADDRESS 140 BROADWAY
CITY-ST-ZIP NEW YORK, NY 0 10005

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE T ☒ Delete
NAME SOBIN, LARRY
STREET ADDRESS 140 BROADWAY
CITY-ST-ZIP NEW YORK NY

TITLE T ☐ Change ☒ Addition
NAME Steven N. Lombardo
STREET ADDRESS 452 Fifth Avenue
CITY-ST-ZIP New York, NY 10018

TITLE S ☒ Delete
NAME BURLANT, GAIL A
STREET ADDRESS 140 BROADWAY 19TH FLOOR
CITY-ST-ZIP NEW YORK NY 10005

TITLE S ☐ Change ☒ Addition
NAME Helen Kujawa
STREET ADDRESS 452 Fifth Avenue
CITY-ST-ZIP New York, NY 10018

TITLE D ☒ Delete
NAME LETZLER, JEFFREY T
STREET ADDRESS 140 BROADWAY 18TH FLOOR
CITY-ST-ZIP NEW YORK NY 10005

TITLE D ☐ Change ☒ Addition
NAME Angelo R. LoMascolo
STREET ADDRESS 452 Fifth Avenue
CITY-ST-ZIP New York, NY 10018

TITLE ☐ Delete
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE Asst. S ☐ Change ☒ Addition
NAME Gail A. Burlant
STREET ADDRESS 452 Fifth Avenue
CITY-ST-ZIP New York, NY 10018

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Gail A. Burlant

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/11/01

Date

(212) 525-7638

Daytime Phone #

CR2E034 (10/00)