

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT CORPORATION ANNUAL REPORT 1999



FLORIDA DEPARTMENT OF STATE Katherine Harris Secretary of State DIVISION OF CORPORATIONS

FILED May 13, 1999 8:00 am Secretary of State

05-13-1999 90022 029 ***150.00

DOCUMENT # 831700 (0)

1. Corporation Name HSBC SECURITIES, INC.

Principal Place of Business: 140 Broadway, 10th Floor, New York, NY 10005, US
Mailing Address: 140 Broadway, 10th Floor, New York, NY 10005, US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified: 01/24/1974
4. FEI Number: 13-2650272
5. Certificate of Status Desired: \$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution: \$5.00 May Be Added to Fees
8. This corporation owes the current year Intangible Personal Property Tax: Yes No

2. Principal Place of Business: 140 Broadway, 10th Floor, New York, New York, 10005, US
2a. Mailing Address: 140 Broadway, 10th Floor, New York, New York, 10005, US

9. Name and Address of Current Registered Agent: CT Corporation System, 1200 S. Pine Island Road, Plantation, FL 33324

10. Name and Address of New Registered Agent: 81 Name, 82 Street Address, 83, 84 City, 85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE: Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE: P	NAME: O'Donnell, James J. STREET ADDRESS: 140 Broadway 18th Floor CITY-ST-ZIP: New York, NY 10005	1.1 TITLE: P	NAME: Anthony F. Rademeyer STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005
TITLE: T	NAME: Sobin, Larry STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005	2.1 TITLE:	NAME:
TITLE: D	NAME: Haroldson, Jeffrey D. STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005	3.1 TITLE:	NAME:
TITLE: S	NAME: LoMascolo, Angelo R. STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005	4.1 TITLE: D	NAME: Letzler, Jeffrey T. STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005
TITLE: S	NAME: Burlant, Gail A. STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005	5.1 TITLE: S	NAME: Burlant, Gail A. STREET ADDRESS: 140 Broadway CITY-ST-ZIP: New York, NY 10005

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Gail A. Burlant 4/26/99 (212)656-7199

CR2E034 (11/98)