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FILED
May 12 1997 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
 Secretary of State
 DIVISION OF CORPORATIONS

DOCUMENT # 831700 (0)

1. Corporation Name
HSBC SECURITIES, INC.



Principal Place of Business
**140 BROADWAY
 18TH FLOOR
 NEW YORK NY 10005-1101
 US**

Mailing Address
**140 BROADWAY
 18TH FLOOR
 NEW YORK NY 10005-1101
 US**

3. Date Incorporated or Qualified
01/24/1974

3a. Date of Last Report
04/12/1996

4. FEI Number
13-2650272

Applied For
 Applied For
 Not Applicable

5. Certificate of Status Desired **\$8.75 Additional Fee Required**

6. Election Campaign Financing Trust Fund Contribution **\$5.00 May Be Added to Fees**

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes Yes No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip Country

24 Zip Country

25 Country

26 Suite, Apt. #, etc.

27 City & State

28 Zip Country

29 Zip Country

30 Country

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City **FL** 85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating)

12. OFFICERS AND DIRECTORS

TITLE	P	<input type="checkbox"/> DELETE
NAME	O'DONNELL, JAMES J	
STREET ADDRESS	140 BROADWAY 18TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10005	
TITLE	V	<input checked="" type="checkbox"/> DELETE
NAME	ZAMSKI, RONALD J	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK, NY 0	
TITLE	MD	<input type="checkbox"/> DELETE
NAME	SASLOW, STEVEN	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	TMD	<input checked="" type="checkbox"/> DELETE
NAME	BASILE, THOMAS J.	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	S	<input type="checkbox"/> DELETE
NAME	BURLANT, GAIL A	
STREET ADDRESS	140 BROADWAY 19TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10005	
TITLE	*** SEE ATTACHMENT ***	<input type="checkbox"/> DELETE

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
1.2 NAME	
1.3 STREET ADDRESS	
1.4 CITY-ST-ZIP	
2.1 TITLE	Sr. Vice President <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
2.2 NAME	Richard Abrahams
2.3 STREET ADDRESS	140 Broadway
2.4 CITY-ST-ZIP	New York, New York 10005
3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	
3.3 STREET ADDRESS	
3.4 CITY-ST-ZIP	
4.1 TITLE	Treasurer <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
4.2 NAME	Larry Sobin
4.3 STREET ADDRESS	140 Broadway
4.4 CITY-ST-ZIP	New York, New York 10005
5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
5.2 NAME	
5.3 STREET ADDRESS	
5.4 CITY-ST-ZIP	
6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplement annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Gail A. Burlant* **Gail A. Burlant/Secretary** **4/28/97** **212-825-5099**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/96)

RECEIVED
COMMERCIAL BANK OF INDIA
97 MAY -1 PM 12:39
CASHIER'S OFFICE



**HSBC SECURITIES, INC.
BOARD OF DIRECTORS**

James J. O'Donnell, Chairman
Richard Abrahams
Colin L.F. Bamford
Clive Bannister
Michael Bugel
Michael F. Geoghegan
Charles Hanbury-Williams
Keith Harris
John P. Ladensack
Virginia Maddock
Jay Pingeton
Raymond Remy
Steven I. Saslow
Robert D. Sbarra
Larry Sobin
Richard Tickner

Gail A. Burlant, Secretary
Karen L. Doerner, Assistant Secretary