

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT CORPORATION ANNUAL REPORT 1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

1 of 2

DOCUMENT # 831700 (0)

1. Corporation Name

HSBC SECURITIES, INC.



Principal Place of Business: 140 BROADWAY, 19TH FLOOR, NEW YORK NY 10005-1101, US
Mailing Address: 140 BROADWAY, 19TH FLOOR, NEW YORK NY 10005-1101, US

3. Date Incorporated or Qualified: 01/24/1974
3a. Date of Last Report: 02/16/1995
4. FEI Number: 13-2650272
5. Certificate of Status Desired: \$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution: \$5.00 May Be Added to Fees
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes: Yes No

2. Principal Place of Business (21-24)
2a. Mailing Address (26-30)

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name
82 Street Address (P.O. Box Number is Not Acceptable)
83
84 City FL 85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE: _____ DATE: _____

12. OFFICERS AND DIRECTORS

TITLE	PD	<input checked="" type="checkbox"/> DELETE
NAME	EVERS, HERBERT	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	V	<input type="checkbox"/> DELETE
NAME	ZAMSKI, RONALD J	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK, NY 0	
TITLE	MD	<input type="checkbox"/> DELETE
NAME	SASLOW, STEVEN	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	TMD	<input type="checkbox"/> DELETE
NAME	BASILE, THOMAS J.	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	S	<input checked="" type="checkbox"/> DELETE
NAME	HAROLDSON, JEFFREY D	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	
TITLE	D	<input checked="" type="checkbox"/> DELETE
NAME	PATTI, ALFRED J	
STREET ADDRESS	140 BROADWAY	
CITY-ST-ZIP	NEW YORK NY	

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	President	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
1.2 NAME	James J. O'Donnell	
1.3 STREET ADDRESS	140 Broadway, 18th Floor	
1.4 CITY-ST-ZIP	New York, NY 10005	
2.1 TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.2 NAME		
2.3 STREET ADDRESS		
2.4 CITY-ST-ZIP		
3.1 TITLE	000001778610	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	-04/12/96--01061--023	
3.3 STREET ADDRESS	***200.00	
3.4 CITY-ST-ZIP		
4.1 TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
4.2 NAME		
4.3 STREET ADDRESS		
4.4 CITY-ST-ZIP		
5.1 TITLE	Secretary	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
5.2 NAME	Gail A. Burlant	
5.3 STREET ADDRESS	140 Broadway, 19th Floor	
5.4 CITY-ST-ZIP	New York, NY 10005	
6.1 TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME		
6.3 STREET ADDRESS		
6.4 CITY-ST-ZIP		

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Ronald J. Patti*
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/10/96 212-658-5142
DATE DAYTIME PHONE

CR2E034 (12/95)

94-12-96



Q. 13 cont'd

**HSBC SECURITIES, INC.
BOARD OF DIRECTORS**

James J. O'Donnell, Chairman
Richard Abrahams
Thomas J. Basile
Michael Bugel
Herbert Evers
Michael Geoghegan
Keith Harris
John P. Ladensack
Raymond Remy
Steven I. Saslow
Robert D. Sbarra
Larry Sobin
Richard Tickner
Charles Hanbury-Williams

Gail A. Burlant, Secretary
Karen L. Doerner, Assistant Secretary
Mary D. McLoughlin, Assistant Secretary