

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 14, 2003 8:00 am
Secretary of State

01-14-2003 90084 036 ***150.00

DOCUMENT # 831571



1. Entity Name
AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Principal Place of Business 1163 AXP FINANCIAL CENTER MINNEAPOLIS MN 55474 US	Mailing Address 1163 AXP FINANCIAL CENTER MINNEAPOLIS MN 55474 US
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CHECK HERE IF MAKING CHANGES

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **41-0973005**

Applied For
Not Applicable

Zip Country

Zip Country

5. Certificate of Status Desired **\$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing Trust Fund Contribution. **\$5.00** May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEO ORAPCHLODO, JAMES M 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP ADOLF, RUEDIGER 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCED CRACCHIOLO, JAMES M 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP ARMSTRONG, WEIRD D 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP ROLOFF, REBECCA 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP BARKSKY III, JOSEPH M 200 AXP FINANCIAL CTR MINNEAPOLIS MN 55474	<input checked="" type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVP Barry J. Murphy 200 AXP Financial Center Minneapolis, MN 55474	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: SIGNATURE REQUIRED
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

1-6-03

Date Daytime Phone #

CR2E034 (10/02)

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AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Name	Title	Business Address
Directors		
Walter S. Berman James M. Cracchiolo		
Officers		
James M. Cracchiolo	Chairman President and Chief Executive Officer	200 AXP Financial Center Minneapolis, MN 55474
Barbara H. Fraser	Executive Vice President - AEFA Products and Corporate Marketing	200 AXP Financial Center Minneapolis, MN 55474
Barry J. Murphy	Executive Vice President - U.S. Retail Group	200 AXP Financial Center Minneapolis, MN 55474
Ruediger Adolf	Senior Vice President	200 AXP Financial Center Minneapolis, MN 55474
Ward D. Armstrong	Senior Vice President-Retirement Services and Asset Management	200 AXP Financial Center Minneapolis, MN 55474
Arthur H. Berman	Senior Vice President - Finance	200 AXP Financial Center Minneapolis, MN 55474
Walter S. Berman	Senior Vice President and Chief Financial Officer	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Hannatty	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Brian M. Heath	Sr. Vice President and General Sales Manager	200 AXP Financial Center Minneapolis, MN 55474
Claire Huang	Sr. Vice President - Retail Marketing	200 AXP Financial Center Minneapolis, MN 55474
John C. Junek	Sr. Vice President, General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Michelle M. Keeley	Sr. Vice President - Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Paula R. Meyer	Sr. Vice President and General Manager - Mutual Funds	200 AXP Financial Center Minneapolis, MN 55474
Holley Morris	Sr. Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Rebecca K. Roloff	Sr. V.P. - Field Management & Financial Advisory Service	200 AXP Financial Center Minneapolis, MN 55474
Stephen W. Roszell	Sr. Vice President - Institutional	200 AXP Financial Center Minneapolis, MN 55474
Bridget Spertl	Sr. Vice President - Client Service Organization	200 AXP Financial Center Minneapolis, MN 55474
Caroline Stockdale	Sr. Vice President - Relationship Leader of Human Resources	200 AXP Financial Center Minneapolis, MN 55474
William F. Ted Truscott	Sr. Vice President- Chief Investment Officer	200 AXP Financial Center Minneapolis, MN 55474
Peter S. Velardi	Sr. Vice President - Field Management	3325 Paddocke Pkwy. Suite 110 Suwannee, GA 30024
William J. Williams	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Michael R. Woodward	Sr. Vice President- Field Management	32 Elliot St. Suite 100 Batavia, NY 14020
Guner C. Alvero	Vice President - Annuities	200 AXP Financial Center Minneapolis, MN 55474
Mark J. Babij	Vice President - Finance	200 AXP Financial Center Minneapolis, MN 55474
John M. Baker	Vice President-Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Dudley Barksdale	Vice President - Service Development	200 AXP Financial Center Minneapolis, MN 55474
Timothy V. Bechtold	Vice President-Risk Management Products	200 AXP Financial Center Minneapolis, MN 55474
Robert C. Bloomer	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Leslie H. Bodell	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Douglas W. Brewers	Vice President Sales Support	200 AXP Financial Center Minneapolis, MN 55474
Paul V. Bruce	Vice President - Compliance	200 AXP Financial Center Minneapolis, MN 55474
Kenneth J. Ciak	Vice President & Gen.Mgr. - IDS Property Casualty	3500 Packerland Drive DePere, WI 54115
Paul A. Connolly	Vice President - Retail Distribution Services	200 AXP Financial Center Minneapolis, MN 55474
Colleen Curran	Vice President & Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Luz Maria Davis	Vice President- Communications	200 AXP Financial Center Minneapolis, MN 55474
William V. Elliott	Vice President - Financial Planning & Advice	200 AXP Financial Center Minneapolis, MN 55474
Benjamin R. Field	Vice President - Finance Education & Planning Services	200 AXP Financial Center Minneapolis, MN 55474
Gordon M. Fines	Vice President - Mutual Fund Equity Investments	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey P. Fox	Vice President - Investment Accounting	200 AXP Financial Center Minneapolis, MN 55474
Terrence J. Flynn	Vice President - Brokerage Clearing Operations	200 AXP Financial Center Minneapolis, MN 55474
Peter A. Galus	Vice President-Investment Administration	200 AXP Financial Center Minneapolis, MN 55474

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Floro Gunero	Vice President - Creative Services	American Express Tower 200 Vessey Street WFC New York, NY 10285
Ray S. Goodner	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Steve Guida	Vice President - New Business and Service	200 AXP Financial Center Minneapolis, MN 55474
Lorraine R. Hart	Vice President - Insurance Investments	200 AXP Financial Center Minneapolis, MN 55474
Jaris K. Heaney	Vice President-Incentive Management	200 AXP Financial Center Minneapolis, MN 55474
Carol A. Holton	Vice President - Third Party Distribution	200 AXP Financial Center Minneapolis, MN 55474
Debra A. Hutchinson	Vice President - Relationship Leader	200 AXP Financial Center Minneapolis, MN 55474
James M. Jensen	Vice President - ARD Product, Compensation and Field Administration	200 AXP Financial Center Minneapolis, MN 55474
William A. Jones	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Ora J. Kaine	Vice President - Retail Distribution Services and Chief of Staff	200 AXP Financial Center Minneapolis, MN 55474
Claire Kolmodin	Vice President - Service Quality	200 AXP Financial Center Minneapolis, MN 55474
Lori J. Larson	Vice President-Brokerage and Direct Services	200 AXP Financial Center Minneapolis, MN 55474
Daniel E. Laufenberg	Vice President and Chief U.S. Economist	200 AXP Financial Center Minneapolis, MN 55474
Jane W. Lee	Vice President-New Business Development and Marketing	200 AXP Financial Center Minneapolis, MN 55474
Catherine M. Libbe	Vice President - Marketing and Product Services	200 AXP Financial Center Minneapolis, MN 55474
Steve Lobo	Vice President - Investment Risk Management and Treasurer	200 AXP Financial Center Minneapolis, MN 55474
Diane D. Lyngstad	Vice President -Lead Financial Officer U. S. Retail	200 AXP Financial Center Minneapolis, MN 55474
Tom Mahowald	Vice President - Director of Equity Research	200 AXP Financial Center Minneapolis, MN 55474
Timothy J. Masek	Vice President and Director of Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Penny Mazal	Vice President - Business Transformation	200 AXP Financial Center Minneapolis, MN 55474
Mark T. McGannon	Vice President and General Sales Manager - AEFA Products	200 AXP Financial Center Minneapolis, MN 55474
Brian J. McGrane	Vice President - LFO - Finance	200 AXP Financial Center Minneapolis, MN 55474
Sarah M. McKenzie	Vice President - Wrap and Trust Products	200 AXP Financial Center Minneapolis, MN 55474
Francois B. Odouard	Vice President	200 AXP Financial Center Minneapolis, MN 55474
Michael J. O'Keefe	Vice President - Advisory Business Systems	200 AXP Financial Center Minneapolis, MN 55474
Carla P. Pavone	Vice President - Strategic Projects	200 AXP Financial Center Minneapolis, MN 55474
Kris Petersen	Vice President - Non Proprietary Products	200 AXP Financial Center Minneapolis, MN 55474
Ronald W. Powell	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Teresa J. Rasmussen	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Daniel J. Rivera	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Andy Schell	Vice President-Client Development & Migration	200 AXP Financial Center Minneapolis, MN 55474
Peter B. Schofield	Vice President - Auditing Service Support	200 AXP Financial Center Minneapolis, MN 55474
Lisa A. Steffes	Vice President - Marketing Offer Development	200 AXP Financial Center Minneapolis, MN 55474
David K. Stewart	Vice President - AEFA Controller	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey J. Strencha	Vice President - Information Resource Management/MSD	200 AXP Financial Center Minneapolis, MN 55474
John T. Sweeney	Vice President - LFO; Products Equity Research	200 AXP Financial Center Minneapolis, MN 55474
George F. Tsafaridis	Vice President - Quality & Service Support	200 AXP Financial Center Minneapolis, MN 55474
Timothy N. Tanner	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Andrew O. Washburn	Vice President - Mutual Fund Marketing	200 AXP Financial Center Minneapolis, MN 55474
Beth E. Weimer	Vice President - Chief Compliance Officer	200 AXP Financial Center Minneapolis, MN 55474
Dianne Wilson	Vice President - Insurance Products	3500 Packerland Drive DePere, WI 54115
Michael D. Wolf	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Doretta R. Wright	Vice President	200 AXP Financial Center Minneapolis, MN 55474
Timothy S. Meehan	Secretary	200 AXP Financial Center Minneapolis, MN 55474
Rob Bohé	Group V.P. - South Texas	10375 Richmond Ave. Ste. 600 Houston, TX 77042-4154
Walter K. Booker	Group V.P.-New Jersey	61 S. Paramus Rd. Mack-Call Off. Ctr. IV 3rd Paramus, NJ 07652
Bruce J. Bordekon	Group V.P. - Northern California	1333 N. California Blvd Suite 200 Walnut Creek, CA 94546

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Marlin T. Cole	Group V.P.- Southwest	7500 E Pinnacle Peak Rd. Ste A100 Scottsdale, AZ 85255-3406 4 Altrium Drive, # 100 Albany, NY 12205 8045 Leesburg Pike, Suite 500 Vienna, VA 22182 9130 S Dadeland Blvd Miami, FL 33156 6000 28th St. SE, Suite 200 Portage, MI 49546-6927 108 Grove Street Worcester, MA 01605 830 Crescent Centre Dr., Suite 490 Franklin, TN 37067 3030 NW Expressway, Su 900 Oklahoma City, OK 73112 6000 Freedom Sq. Dr., Su 300 Cleveland, OH 44131 7601 France Ave., Suite 600 Edina, MN 55435-5971 801 East Campbell Road, Suite 250 Richardson, TX 75081 125 South Wacker Dr., Suite 1550 Chicago, IL 60606 11835 W Olympic Blvd., Suite 900 Los Angeles, CA 90064 500 Mamaroneck Ave. Su 220 Harris, NY 10528 333 E River Dr. East Hartford, CT 06108-4200 12312 Olive Blvd., Suite 200 Creve Coeur, MO 63141-6448 Four Tower Bridge, 200 Barr Harbor Drive Suite 100 West Conshohocken, PA 19428 5511 Capital Center Dr., Suite 100 Raleigh, NC 27606-3365 1400 Lombard Ave Su 201 SO Green Bay, WI 54304 3017 Douglas Blvd. Suite 300 Roseville, CA 95661 101 Plaza E. Blvd Suite 320 Evansville, IN 47715 Two Park Plaza, Suite 1100 Irvine, CA 92614 10405 6th Ave. N. Su 140, 55 W. Financial Ctr. Plymouth, MN 55441 4190 Belfort Rd., Enterprise Pk. Suite 150 Jacksonville, FL 32216 188 106th Ave. NE, Suite 640 Bellevue, WA 98004-5902 3951 Westerre Pkwy., Suite 250 Richmond, VA 23233-1313 26777 Central Park Blvd., Suite 350 Southfield, MI 48076 3500 Market Street Suite 200 Camphill, PA 17011 1 Galleria Blvd, Suite 1900 Metairie, LA 70001 11590 Century Blvd., Suite 204 Cincinnati, OH 45246 655 Metro Place S., Suite 570 Dublin, OH 43017-3396 101 Elliot Ave. W., Suite 100 Seattle, WA 98119-4293 18167 U.S. Highway 19 N. Su100 Clearwater, FL 34624 400 First St., Su 290 St. Cloud, MN 56301-3600 1333 N California Blvd., Suite 200 Walnut Creek, CA 94596-4569 Suite 200 8833 Stalter Drive Rockford, IL 61108 1111 Northshore Drive Knoxville, TN 37919-4060 3490 Piedmont Road Atlanta, GA 30305-1743 5950 Symphony Woods Road Suite 501 Columbia, MD 21044-3587 3731 Wilshire Blvd., Suite 610 Los Angeles, CA 90010-2824 9200 Keystone E. Crossing Suite 100 Indianapolis, IN 46240-4699 Suite 200 12312 Olive Boulevard WestMew Place Creve Coeur, MO 63141 8910 University Ctr. Ln. Suite 200 San Diego, CA 92122-1023 2625 North Clark St Chicago, IL 60614-1566
Alhur E. DeLorenzo	Group Vice President - Upstate New York/Vermont	
Scott M. DiGiammarino	Group V.P.-Washington/ Baltimore	
Bradford L. Drew	Group V.P.- Southern Florida	
Kenneth Dykman	Group V.P. - Greater Michigan	
Jon E. Hjeltn	Group V.P. - Ohio Valley	
David J. Hockenberry	Group V.P.- Mid South	
Diana R. Jannerone	Group V.P. - Great Plains	
Theodore M. Jenkin	Group V.P. - Steel Cities	
Jody M. Johnson	Group V.P. - Twin Cities Metro	
Raymond G. Kelly	Group Vice President - North Texas	
Mitne Kufanovski	Group V.P.-Chicago Metro	
Dean O. McGill	Group V.P. - Los Angeles Metro	
Thomas V. Nicolosi	Group V.P.-New York Metro Area	
Patrick H. O'Connell	Group V.P. - Southern New England	
John G. Poole	Group V.P. - Gateway/Springfield	
Larry M. Post	Group V.P. - New England	
R. Daniel Richardson III	Group V.P.- Carolinas	
Max G. Roth	Group V.P.-Wisconsin/Upper Michigan	
Diane Ruebling	Group Vice President - Western Frontier	
Russell L. Scaffano	Group V.P.-Illinois/Indiana/ Kentucky	
Paul J. Stanislaw	Group V.P.-Southern California/Hawaii	
Lois A. Stowell	Group V.P.- Greater Minnesota/Iowa	
Craig P. Taucher	Group V.P.- Georgia/North Florida	
Nell G. Taylor	Group V.P.- Pacific Northwest	
Janet M. Vandembark	Group V. P. - Virginia	
Charles F. Wachendorfer	Group V.P.-Detroit Metro	
Donald F. Weaver	Group V.P. - Eastern PA/Delaware	
Rande L. Zellers	Group V.P.- Delta States	
Rodney P. Alexander	Field Vice President	
John D. Begley	Field Vice President	
Debra S. Bennett	Field Vice President	
Gary L. Bernard	Field Vice President	
William J. Bezdzichok	Field Vice President	
James M. Bizzack	Field Vice President	
David Boccignone	Field Vice President	
Kevin D. Briggs	Field Vice President	
Jake T. Bryant	Field Vice President	
Scott W. Bryant	Field Vice President	
David L. Brundage	Field Vice President	
Matthew J. Burke	Field Vice President	
Thomas A. Burke	Field Vice President	
Suzanne L. Cadwallader	Field Vice President	
Thomas M. Calkusic	Field Vice President	

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Timothy J. Campbell	Field Vice President	7248 Tilghman Street Allentown, PA 18106
Matthew T. Carbone	Field Vice President	180 Montgomery St, Suite 2480 San Francisco, CA 94104-4203
Elaine A. Carlson	Field Vice President	1160 Town Center Dr., Suite 130 Las Vegas, NV 89144-0562
Raymond E. Carothers	Field Vice President	3490 Piedmont Rd. Atlanta, GA 30305-1743
Steven K. Cates	Field Vice President	5100 Poplar Ave., Suite 1200 Memphis, TN 38137-4000
Gregory V. Choquette	Field Vice President	15800 West Bluemound Road, Suite 120 Brookfield, WI 53005-6003
Marc B. Cohen	Field Vice President	1 Northwind Centre Alpharetta, GA 30201
Jeremy V. Combe	Field Vice President	Two Park Plaza, Suite 1100 Irvine, CA 92614-8521
Timothy B. Crain	Field Vice President	1 Galleria Blvd., Suite 1660 Metairie, LA 70001
Brian A. Curry	Field Vice President	10800 Financial Ctr Pk Ste. 200 Little Rock, AR 72211-3554
Ian W. Davis	Field Vice President	2000 Oxford Dr., Suite 630 Bethel Park, PA 15102-1841
Mark DeCelle	Field Vice President	Suite 150 6400 Shafer Court Rosemont, IL 60018
Maria D. Dell'Ovo	Field Vice President	108 Grove Street Worcester, MA 01605
David R. Deffen	Field Vice President	1400 Lombard Ave., Suite 201 Green Bay, WI 54304
Paul J. DiPalma	Field Vice President	100 Midway Place Cranston, RI 02920-5742
Frederick T. Driscoll	Field Vice President	25 Braintree Hill Park Braintree, MA 02184
Gary L. Fisher	Field Vice President	8245 Holly Rd., Suite 204 Grand Blanc, MI 48439-2443
Paul K. Foley	Field Vice President	9442 Capital of TX Hwy., Plaza One Suite 800 Austin, TX 78759-7269
Noal I. Forma	Field Vice President	535 Connecticut Ave., Ste. 301 Norwalk, CT 06854-1727
Kristie A. Garrett	Field Vice President	101 Elliott Ave. W. Ste 100 Seattle, WA 98119-4293
Heather M. Gifford	Field Vice President	500 Southborough Dr. South Portland, ME 04106-6928
Gerald B. Gil	Field Vice President	7979 E. Tufts Ave. Pkwy. Suite 715 Denver, CO 80237-2846
Jeffrey B. Greco	Field Vice President	Islandia Pavilion 1377 Motor Parkway Hauppauge, NY 11788
John C. Greiber	Field Vice President	900 Long Lake Dr. Su 300 New Brighton, MN 55112-6440
Bruce M. Guarino	Field Vice President	6370 Magnolia Ave., Su 300 Riverside, CA 92506-2407
Jeffrey T. Hankins	Field Vice President	250 Old Wilson Bridge Rd. Worthington, OH 43085-2215
Thomas H. Harbour	Field Vice President	1000 Pine Island Rd. Ste. 500 Plantation, FL 33324-3904
David W. Heichel	Field Vice President	6000 Freedom Sq. Dr., Ste. 300 Cleveland, OH 44131
Thomas R. Hendricks	Field Vice President	6045 Rockwell Dr. NE Cedar Rapids, IA 52402-7204
John A. Henehan	Field Vice President	333 East River Drive East Hartford, CT 06108-4200
Randy M. Herr	Field Vice President-New Advisor Development	2710 Gateway Oaks Dr., Su 105 Sacramento, CA 95833-3505
Tim A. Holland	Field Vice President	Suite 300 111 Franklin Road Roanoke, VA 24011
Amin Hooman	Field Vice President-New Advisor Development	5700 Corporate Dr., Su 650 Pittsburgh, PA 15237-5829
Lori L. Hoopingarmer	Field Vice President	Linthicum, MD 21090 6370 Magnolia Ave. Ste. 300 Riverside, CA 92506-2407
Howard A. Huckins	Field Vice President	100 W. Broadway Suite 100 Glendale, CA 91210-1202
Timothy B. Hunter	Field Vice President	25 W. Cedar St., Suite 100 Pensacola, FL 32501-5975
Steven Jablonske	Field Vice President	1 South Church Street West Chester, PA 19382-3228
Gregory C. Johnson	Field Vice President	499 Thormal St. Edison, NJ 08837-2235
Ira Alan Johnston	Field Vice President	Osborne Office Center, Su 602 Chattanooga, Tennessee 37411
Michael K. Jones	Field Vice President-New Advisor Development	4220 Edison Lakes Pkwy., Su 201 Mishawaka, IN 46545-1420
Raymond R. Jones	Field Vice President-Marketing/ Risk Management/FES	250 Old Wilson Bridge, Su 120/15 Worthington, OH 43085-2215
Gary D. Jurden	Field Vice President	1499 SE Tech Center Pl Suite 220 Vancouver, WA 98683-9575
Lori K. Jury	Field Vice President	30 D East Roseville Road Sterling Center Lancaster, PA 17601-3928
Olin G. Kees	Field Vice President	8301 E 21st St Wichita, KS 67206-2932

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David E. Keller	Field Vice President	10110 Nicholas St., Ste 200 Omaha, NE 68114-2174
Barry S. Kemp	Field Vice President	830 Crescent Cir. Dr., Ste 400 Franklin, TN 37067-6218
Julie W. Krust	Field Vice President	2525 Bary Area Blvd., Ste. 400 Houston, TX 77058-1556
Gregg S. Konopaska	Field Vice President	6610 Rockledge Dr., Suite 400 A Bethesda, MD 20817-7812
Bruce A. Kranup	Field Vice President	3131 Newmark Dr., Ste 247 Mansfield, OH 45342-5400
Craig D. Laday	Field Vice President	100 Overlook Str., Su 410 Princeton, NJ 08540-7814
Susan I. Lamb	Field Vice President	25 Brainree Hill Pl., Suite 207 Braintree, MA 02184-8702
Craig S. Landers	Field Vice President-Veteran Advisor Development	3200 E Camelback, Su 129 Phoenix, AZ 85018-2397
Steven R. LaRocco	Field Vice President	377 E. Butterfield Rd. Lombard, IL 60148-5615
John T. Laurito	Field Vice President	333 East River Dr. East Hartford, CT 06108-4200
Michael E. Lawson	Field Vice President	6000 28th Street SE Suite 200 Grand Rapids, MI 49546
Jefferson A. Ledbetter	Field Vice President	9130 S. Dadeland Blvd. Miami, FL 33156-7809
Kyle E. Lewis	Field Vice President	4506 Stephens Circ. NW Canton, OH 44718-3699
John M. Loper	Field Vice President	30 D E. Roseville Rd. Lancaster, PA 17601-3866
Tom Lozanoski	Field Vice President	270 E 90th Dr. Merrillville, IN 46410-8102
Robert J. Lucarelli Jr.	Field Vice President	1764 Litchfield Tpke., Ste. 305 Woodbridge, CT 06525-2353
Thomas K. Luxem	Field Vice President	7767 Elm Creek Blvd., Ste. 150 Maple Grove, MN 55369-7041
Charlton H. Lyons	Field Vice President	1 Galleria Blvd., Ste 1900 Metairie, LA 70001-7553
William T. Martin	Field Vice President	100 Summit Lk. Dr. Valhalla, NY 10595-1339
Dennis K. Marvel	Field Vice President	1150 Haywood Rd. Greenville, SC 29615-2289
Daniel R. Masiello	Field Vice President	140 Broadway Fl 43 New York, NY 10005-1101
Robert A. McCuiston	Field Vice President	Kews Garden, NY 11415
Thomas J. Meade	Field Vice President	5511 Capital Center Dr., Ste. 100 Raleigh, NC 27606-3665
Stephen M. Micciotta	Field Vice President	5 Bedford Farms Bedford, NH 03110-6531
Glenn D. Michaels	Field Vice President	14 Bobale Rd. Holyoke, MA 01040-9632
Jason K. Mickool	Field Vice President	5151 East Broadway Su300 Tucson, AZ 85711
Scott M. Miller	Field Vice President	2 Constitution Plaza 1st FL Boston, MA 02129-2032
Timothy J. Monahan	Field Vice President	2650 Military Tr., Two Fountain Square, Ste 100 Boca Raton, FL 33431
Francis W. Mossett III	Field Vice President	180 Admiral Cochran Dr. Annapolis, MD 21401-7300
Matthew A. Olson	Field Vice President	6800 College Blvd. Suite 500 Overland Park, KS 66211-1533
Geoffery Oprandy	Field Vice President	200 Meridian Cv., Suite 230 Rochester, NY 14618-3971
Robert J. Peavey	Field Vice President	200 Barr Harbor Drive Suite 100 West Conshohocken, PA 19428-2960
Clifford B. Powers	Field Vice President	5029 Corporate Woods Dr. Virginia Beach, VA 23462-4376
Michael J. Reardon	Field Vice President	4190 Belfort Road, Suite 320 Jacksonville, FL 32216
Jonathan P. Reardon	Field Vice President	1105 Laurel Oaks Rd. Voorhees, NJ 08043
Tracy M. Redmon	Field Vice President	7300 Carmel Exec., Ste. 300 Charlotte, NC 28226-3626
John D. Rehani	Field Vice President	6060 Dutchmans Lane, Ste. 250 Louisville, KY 40205-3293
William J. Reiner	Field Vice President	901 St. Louis Drive The Hammons Tower Springfield, MO 65806
Mark A. Ricca	Field Vice President	7248 Tighman Street Allentown, PA 18106-9355
Mark R. Robinson	Field Vice President	100 Overlook Ctr., Ste. 410 Princeton, NJ 08540-7814
Francis M. Rouquette III	Field Vice President	101 Elliot Ave. W., Su 100 Seattle, WA 98119-4293
David M. Rozzano	Field Vice President	14800 Landmark Blvd. Su 800 Dallas, TX 75240-7500
Shannon T. Ryan	Field Vice President	5789 Widewaters Pkwy., Ste. 300 Dewitt, NY 13214-1855
Bradford S. Sabol	Field Vice President	801 E Campbell Rd., Suite 250 Richardson, TX 75081-1887
Harvinder S. Sahni	Field Vice President	575 Donofrio Dr., Ste. 100 Madison, WI 53719-2832
		10101 Reunion Pl., Ste. 100 San Antonio, TX 78216-4165

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Jason Salinski	Field Vice President	200 Bellevue Pkwy., Ste. 250 Wilmington, DE 19809-3727
Lawrence D. Sangrard	Field Vice President	1377 Motor Pkwy., Ste. FL 2 Islandia, NY 11749-9683
Valentino A. Scaramuzzo	Field Vice President	1120 Avenue of the Americas 19th Floor New York, NY 10036
Steve Scharback	Field Vice President	188 106th Avenue N.E. Bellevue, WA 98004
Aaron D. Schenkman	Field Vice President	205 N. Spring Meadow Dr. Holbrook, NY 11741-4110
Thomas M. Schuh	Field Vice President	3200 Northline Ave., Ste. 235 Greensboro, NC 27408-7611
Uwe F. Schweigert	Field Vice President-New Advisor Development	7300 Camel Exec., Su 300 Charlotte, NC 28226-3626
Robert E. Sherman	Field Vice President	575 White Pond Dr., Ste. E Akron, OH 44320-1123
Michael R. Shuck	Field Vice President	2001 Crocker Rd., Ste. 300 Westlake, OH 44145-6966
Phillip A. Simonides	Field Vice President	8045 Leesburg Pike, Suite 500 Vienna, VA 22182-2796
Ronald D. Smith Jr.	Field Vice President	5000 E. Spring St., Ste. 200 Long Beach, CA 90815-5215
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