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Feb 19 1997 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
 Secretary of State
 DIVISION OF CORPORATIONS

DOCUMENT # 831571 (5)
 1. Corporation Name
AMERICAN EXPRESS FINANCIAL ADVISORS INC.



Principal Place of Business: **IDS TOWER TAX DEPARTMENT - UNIT 1162 MINNEAPOLIS MN 55440**

Mailing Address: **IDS TOWER TAX DEPARTMENT - UNIT 1162 MINNEAPOLIS MN 55402-2100**

2. Principal Place of Business		2a. Mailing Address		3. Date Incorporated or Qualified 01/02/1974	3a. Date of Last Report 04/17/1996
21. Suite, Apt. #, etc. Unit 1163	26. Suite, Apt. #, etc. Unit 1163	4. FEI Number 41-0973005		Applied For Not Applicable	
22. City & State	27. City & State	5. Certificate of Status Desired <input type="checkbox"/>		\$8.75 Additional Fee Required	
23. Zip	28. Zip	6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>		\$5.00 May Be Added to Fees	
24. Country	29. Country	8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

9. Name and Address of Current Registered Agent
**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

10. Name and Address of New Registered Agent

B1 Name
 B2 Street Address (P.O. Box Number is Not Acceptable)
 B3
 B4 City **FL** B5 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE: _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE CP	<input type="checkbox"/> DELETE	11 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME HUBERS, DAVID R.		12 NAME	
STREET ADDRESS IDS TOWER 10		13 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN 55440		14 CITY - ST - ZIP	
TITLE V	<input type="checkbox"/> DELETE	21 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME UDLEY, WILLIAM H		22 NAME	
STREET ADDRESS IDS TOWER 10		23 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN		24 CITY - ST - ZIP	
TITLE V	<input type="checkbox"/> DELETE	31 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME MITCHELL, JAMES A		32 NAME	
STREET ADDRESS IDS TOWER 10		33 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN		34 CITY - ST - ZIP	
TITLE S	<input type="checkbox"/> DELETE	41 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME MEEHAN, TIMOTHY S.		42 NAME	
STREET ADDRESS IDS TOWER 10		43 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN		44 CITY - ST - ZIP	
TITLE T	<input type="checkbox"/> DELETE	51 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME GOODWIN, JR., MORRIS		52 NAME	
STREET ADDRESS IDS TOWER 10		53 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN		54 CITY - ST - ZIP	
TITLE AT	<input type="checkbox"/> DELETE	61 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME RITTER, STEVEN J.		62 NAME	
STREET ADDRESS IDS TOWER 10		63 STREET ADDRESS	
CITY, ST, ZIP MINNEAPOLIS MN 55440		64 CITY - ST - ZIP	

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Steven J. Ritter* *Steven J. Ritter* 2/6/97 (612)671-2461
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Assistant Treasurer Date Daytime Phone #

CR2E034 (9/96)

Name	Title	Business Address
<u>Directors</u>		
William H. Dudley		
Steven C. Kumagal		
Douglas A. Lennick		
<u>Officers</u>		
David R. Hubers	Chairman, Chief Executive Officer and President	IDS Tower 10 Minneapolis, MN 55440
William H. Dudley	Executive Vice President - Investment & Brokerage Operations	IDS Tower 10 Minneapolis, MN 55440
Douglas A. Lennick	Executive V.P.-Private Client Group	IDS Tower 10 Minneapolis, MN 55440
James A. Mitchell	Executive V.P.-Marketing and Products	IDS Tower 10 Minneapolis, MN 55440
Peter J. Anderson	Sr. Vice President - Advisory Group & Equity Management	IDS Tower 10 Minneapolis, MN 55440
Karl J. Breyer	Sr. Vice President and Special Counsel	IDS Tower 10 Minneapolis, MN 55440
James E. Choat	Sr. Vice President-Field Management	IDS Tower 10 Minneapolis, MN 55440
Gordon L. Eld	Sr. Vice President & General Counsel	IDS Tower 10 Minneapolis, MN 55440
Marietta L. Johns	Sr. Vice President - Acuma Ltd.	801 E.Campbell Rd suite 150 Richardson, TX 75081
Susan D. Kinder	Sr. Vice President - Human Resources	IDS Tower 10 Minneapolis, MN 55440
Richard W. Kilng	Sr. Vice President- Risk Management Products	IDS Tower 10 Minneapolis, MN 55440
Steven C. Kumagal	Sr. Vice President & Associate General Sales Manager	IDS Tower 10 Minneapolis, MN 55440
Peter A. Lefferts	Senior Vice President-Corporate Strategy and Development	IDS Tower 10 Minneapolis, MN 55440
Barry J. Murphy	Sr. Vice President- Client Service	IDS Tower 10 Minneapolis, MN 55440
Erven A. Samsel	Sr. Vice President Field Management	IDS Tower 10 Minneapolis, MN 55440
John R. Thomas	Sr. Vice President - Mutual Funds Operations	IDS Tower 10 Minneapolis, MN 55440
Melinda S. Urion	Sr. Vice President and Chief Financial Officer	IDS Tower 10 Minneapolis, MN 55440
Norman Weaver Jr.	Sr. Vice President-Field Management	18109 Brookhurst Fountain Valley, CA 92708
William N. Westhoff	Sr. Vice President - Fixed Income Management	IDS Tower 10 Minneapolis, MN 55440
Michael R. Woodward	Sr. Vice President-Field Management	32 Elliot St. Suite 100 Batavia, NY 14020
Ronald G. Abrahamson	Vice President-Service Quality & Reengineering	IDS Tower 10 Minneapolis, MN 55440
Douglas A. Alger	Vice President - Compensation and Benefits	IDS Tower 10 Minneapolis, MN 55440
Ward D. Armstrong	Vice President-Sales & Mktg	IDS Tower 10 Minneapolis, MN 55440
John M. Baker	IDS Institutional Retirement Serv. Vice President-Plan Sponsor Services	IDS Tower 10 Minneapolis, MN 55440
Joseph M. Barsky III	Vice President Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Robert C. Basten	Vice President-Tax and Business Services	IDS Tower 10 Minneapolis, MN 55440
Timothy V. Bechtold	Vice President-Risk Management Products	IDS Tower 10 Minneapolis, MN 55440
Alan F. Bignall	Vice President - Technology & Development	IDS Tower 10 Minneapolis, MN 55440
John C. Boeder	Vice President-Mature Market Group	IDS Tower 10 Minneapolis, MN 55440
Daniel J. Candura	Vice President Marketing Support	IDS Tower 10 Minneapolis, MN 55440
Cynthia M. Carlson	Vice President-ID Securities Services	IDS Tower 10 Minneapolis, MN 55440

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Name	Title	Business Address
William C. Melton	Vice President - Chief Economist	IDS Tower 10 Minneapolis, MN 55440
Pamela J. Moret	Vice President- Services Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
Mary Owens Neal	Vice President- Mature Market Segment	IDS Tower 10 Minneapolis, MN 55440
Robert J. Nels	Vice President - Technology Services	IDS Tower 10 Minneapolis, MN 55440
James R. Palmer	Vice President - Taxes	IDS Tower 10 Minneapolis, MN 55440
Carla P. Pavone	Vice President-Specialty Service Teams & Emerging Business	IDS Tower 10 Minneapolis, MN 55440
Susan B. Plimpton	Vice President - American Express Marketing	IDS Tower 10 Minneapolis, MN 55440
Ronald W. Powell	Vice President and Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
James M. Punch	Vice President- Geographical Service Teams	IDS Tower 10 Minneapolis, MN 55440
Frederick C. Quirsfeld	Vice President - Taxable Mutual Fund Investments	IDS Tower 10 Minneapolis, MN 55440
Debra J. Rabe	Vice President- Financial Planning	IDS Tower 10 Minneapolis, MN 55440
Rebecca K. Roloff	Vice President- Private Client Group	IDS Tower 10 Minneapolis, MN 55440
Stephen W. Roszell	Vice President - Advisory Institutional Marketing	IDS Tower 10 Minneapolis, MN 55440
John P. Ryan	Vice President and General Auditor	IDS Tower 10 Minneapolis, MN 55440
Stuart A. Sedlacek	Vice President - Quantitative Investment Mgmt.	IDS Tower 10 Minneapolis, MN 55440
Donald K. Shanks	Vice President-Property Casualty	IDS Tower 10 Minneapolis, MN 55440
Francis Dale Simmons	Vice President - Senior Portfolio Mgr., Ins. Investments	IDS Tower 10 Minneapolis, MN 55440
Judy P. Skoglund	V.P.-Human Resources & Organization Development	IDS Tower 10 Minneapolis, MN 55440
Ben C. Smith	Vice President-Workplace Marketing	IDS Tower 10 Minneapolis, MN 55440
William A. Smith	Vice President - Finance and CFO/UK	IDS Tower 10 Minneapolis, MN 55440
Bridget Spertl	Vice President - Human Resources Mgmt. Services	IDS Tower 10 Minneapolis, MN 55440
William A. Stoltzmann	Vice President and Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
James J. Strauss	Vice President - Corporate Planning and Analysis	IDS Tower 10 Minneapolis, MN 55440
Jeffrey J. Stremcha	Vice President - Information Resource Management/ISD	IDS Tower 10 Minneapolis, MN 55440
Barbara Stroup Stewart	Vice President- Corporate Reengineering	IDS Tower 10 Minneapolis, MN 55440
Wesley W. Wadman	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Michael L. Weiner	Vice President - Tax Research and Audit	IDS Tower 10 Minneapolis, MN 55440
Lawrence J. Welte	Vice President - Investment Administration	IDS Tower 10 Minneapolis, MN 55440
Jeffrey F. Weiter	Vice President-Equity & Fixed Income Trading	IDS Tower 10 Minneapolis, MN 55440
Edwin M. Wistrand	Vice President and Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
John D. Begley	Group V.P.-Ohio/Indiana	7780 Olentangy River Rd. Suite 100 Columbus, OH 43235
Jack A. Benjamin	Group V.P.-Greater Pennsylvania	620 Erie Blvd West Su 115 Syracuse, NY 13204
Brent L. Bisson	Group V.P.-Los Angeles Metro	IDS Tower 10 Minneapolis, MN 55440
Walter K. Bookler	Group V.P.-New Jersey	3500 Market St Suite 200 Camp Hill, NJ 17011
Bruce J. Bordelon	Group V.P.-Gulf States	Galleria One Galleria Blvd. Su 1900 Metairie, LA 70001
Charles R. Branch	Group V.P.-Northwest	9442 Capital of Texas Hwy N Su 800 Austin, TX 78759

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Name	Title	Business Address
Charles F. Wachendorfer	Group V.P.-Denver/Salt La Lake City/ Albuquerque	Stanford Place II, suite 100 Denver, CO 80237
Thomas L. White	Group V.P.-Cleveland Metro	3131 Newmark Drive Su 247 Miamisburg, OH 45342
Eric S. Williams	Group V.P.-Virginia	100 West Broadway Su 100 Glendale, CA 91210
Rodney P. Alexander	Field Vice President	11590 Century Blvd., Suite 214 Cincinnati, OH 45246
Edward B. Ankrum	Field Vice President	3100 13th AV S Su 202 Fargo, ND 58103
Robin D. Arnold	Field Vice President	140 Stoneridge Dr Su650 Columbia, SC 29210
Lisa D. Babcock	Field Vice President	9100 Bluebonnet Ctr Blvd Su100 Baton Rouge, LA 70809
Gary L. Bernard	Field Vice President	18167 U.S.Highway 19 N.Su100 Clearwater, FL 34624
John P. Bezdichek	Field Vice President	18141 N.Outer Forty Dr Su200 Chesterfield, MO 63017
William J. Bezdichek	Field Vice President	2865 Long Lake Rd Su400 Roseville, MN 55113
Steven C. Bilss	Field Vice President	414 Perry Road Grand Blanc, MI 48439
William P. Borduin	Field Vice President	South 61 Paramus Rd Paramus, NJ 07652
Terry L. Bowers	Field Vice President	333 N. Sam Houston Pkwy Su850 Houston, TX 77060
David A. Brounley	Field Vice President	111 Franklin Plaza Roanoke, VA 24011
Bruce G. Bushman	Field Vice President	W. 111 North River Dr su 200 Spokane, WA 99201
Arnold Cagle	Field Vice President	2 Centerview Drive Suite 300 Greensboro, NC 27407
Thomas M. Calkusic	Field Vice President	3255 Foothill Court Dubuque, IA 52001
Vincent J. Capsello	Field Vice President	4 Atrium Dr. Executive Woods, Ste 100 Albany, NY 12205
Raymond E. Carothers	Field Vice President	1200 Ashwood Pkwy Suite 150 Atlanta, GA 30338
Lester J. Clark	Field Vice President	5700 Corporate Dr., Suite 650 Pittsburgh, PA 15237
Robert L. Daggett	Field Vice President	500 Southborough Drive South Portland, ME 04106
Paul J. Damon	Field Vice President	721 Kenmoor Southeast Grand Rapids, MI 49546
Andrew D. Davis	Field Vice President	575 D'Onofrio Dr. Su 100 Madison, WI 53719
Orville D. Dawkins	Field Vice President	1111 Northshore Dr Su S-130 Knoxville, Tennessee 37919
Mark C. Delmar	Field Vice President	3109 W. Dr. Martinking Blvd Tampa, FL 33607
Arthur E. DeLorenzo	Field Vice President	1200 Veterans Memorial Hwy.Su100 Hauppauge, NY 11788
Brian G. Dobbins	Field Vice President	1201 S.Alma School Road Su 10000 Mesa, AZ 85210
Frederick T. Driscoll	Field Vice President	25 Braintree Hill Park Braintree, MA 02184
Paul E. Drolson	Field Vice President	8910 University Center Ln. Suite 200 San Diego, CA 92122
Kenneth S. Dykman	Field Vice President	655 Metro Place S., Suite 570 Dublin, Ohio 43017
James P. Egge	Field Vice President	3812 Cedar Heights Dr. Cedar Falls, IA 50613
Terrance L. English	Field Vice President	4000 Executive Pkwy Su 250 San Ramon, CA 94583
Jerome E. Etchegoyhen	Field Vice President	SU 235 Bldg.I 4600 Kietzke Lane Reno, NV 89502
Joeshph Evanovich III	Field Vice President	IDS Tower 10 Minneapolis, MN 55440
Phillip Evans	Field Vice President	6985 Union Park Center Su 600 Midvale, UT 84047
William K. Eychaner	Field Vice President	2602 Commons Blvd. Suite A Augusta, GA 30909

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

1/97

Name	Title	Business Address
James H. Navone	Field Vice President	101 Elliott Avenue West Su 100 Seattle, WA 98119
Homer L. Nottingham	Field Vice President	300 Esplanade Drive Su 1450 Oxnard, CA 93030
Stephen R. Palen	Field Vice President	925 North Broadway Rochester, MN 55901
Marc A. Parker	Field Vice President	6525 Quail Hollow Rd. Memphis, TN 38120
William A. Peddie	Field Vice President	5228 Lovers Lane Kalamazoo, MI 49002
Ron C. Penney, Jr.	Field Vice President	The Hammons Tower 901 St. Louis Dr. Springfield, MO 65806
Mary Lee Pierce	Field Vice President	6565 Americas Parkway NE, suite 350 Albuquerque, NM 87110
Leonard S. Pinover III	Field Vice President	17177 N. Laurel Park Dr., suite 107 Livonia, MI 48152
William R. Polenske	Field Vice President	3600 Brooks Missoula, MT 59806
John G. Poole	Field Vice President	One Chase Corporate Drive Su 200 Birmingham, AL 35244
Robert Priest	Field Vice President	80-02 Kew Gard. Rd., Crossroads Tower Kew Gardens, NY 11415
Jerome D. Rasner	Field Vice President	2012 Tenth Street Menominee, MI 49858
Michael O. Reid	Field Vice President	IDS Tower 10 Minneapolis, MN 55440
Richard D. Richardson	Field Vice President	1965 51st. NE Cedar Rapids, Iowa 52402
Frederick C. Roufs	Field Vice President	117 East Hickory Street Mankato, MN 56001
Brian L. Rucks	Field Vice President	1750 Golf Road Su 100 Schaumburg, IL 60173
Daniel R. Saulnier	Field Vice President	Gaslight Square 2075 So. Ohio Salina, KS 67401
John M. Sciore	Field Vice President	12312 Olive Blvd. Su 200 St. Louis, MO 63141
Anthony D. Scott	Field Vice President	Lomond Place, Bldg 1 Utica, NY 13502
John M. Selzer	Field Vice President	IDS Tower 10 Minneapolis, MN 55440
Donn G. Sharer	Field Vice President	4870 S. Lewis, Suite 240 Tulsa, OK 74105
Albert W. Sharp	Field Vice President- Veteran Advisor Development	28601 Chagrin Blvd., Su 200 Woodmere, Ohio 44122
Randall K. Shiffer	Field Vice President	3500 Market Street, Suite 200 Camp Hill, PA 17011
Frank Anthony Sica	Field Vice President	120 East 6th St., Suite 106 Topeka, Kansas 66603
George A. Simons	Field Vice President- New Advisor Integration	1900 So. Norfolk St. Su 302 San Mateo, CA 94403
Donald B. Smith	Field Vice President	1661 Route 22 West Bridgewater, NJ 08807
Brian P. Sommer	Field Vice President	2469 Woodlake Circle Suite 200 Okemos, MI 48864
Charles Sotire	Field Vice President	1200 Laurel Oak Road Su 165 Voorhees, NJ 08043
William E. Steinke	Field Vice President	8800 SE Sunnyside Rd., Su 300 Clackamas, Oregon 97015
John T. Sullivan	Field Vice President	5000 East Spring Street Su 200 Long Beach, CA 90815
Craig P. Taucher	Field Vice President	1671 Worchester Rd. Framingham, MA 01701
Mary E. Terry	Field Vice President	IDS Tower 10 Minneapolis, MN 55440
Paul D. Tillier	Field Vice President	3951 Westerre Parkway Su 250 Richmond, VA 23233
Thomas R. Titus	Field Vice President	950 Windham Court Su 5 Youngstown, OH 44512
Thomas A. Trotta	Field Vice President- New Advisor Development	545 West Market St. Lima, Ohio 45801
Alan H. Ufliand	Field Vice President	2880 Old Dixwell Avenue Hamden, CT 06518

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

1/97

Name	Title	Business Address
John G. McColley	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Susan A. Meyer	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Elizabeth A. Nystedt	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Mary Jo Olson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Thomas E. Pavey	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Henry S. Pescatore	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Debra J. Rabe	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Jill Reamer	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Diana L. Roberts	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Curtis L. Smerud	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Joyce M. Snyder	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Timothy Stevenson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Paul F. Sticha	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Dariene A. Vicurn	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Margaret D. Walter	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Dariene A. Wilde	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Valerie D. liams-Harris	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
James A. Wilson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Steven P. Zeller	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Robert E. Bruers	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Robert Erdman	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Margaret M. McGrath	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Mary A. McKeen	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Barbara M. Ostlund	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Michael J. Perkins	Assistatn Treasurer	IDS Tower 10 Minneapolis, MN 55440
Steven J. Ritter	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Richard L. Solseth	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Gregg A. Syverson	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Leslie R. Tvedt	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440