

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

FILED

May 15 1997 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 827221 (3)

1. Corporation Name
AMBAC INDEMNITY CORPORATION

Principal Place of Business
1 STATE STREET PLAZA
NEW YORK NY 10004

Mailing Address
1 STATE STREET PLAZA
NEW YORK NY 10004-1505



2. Principal Place of Business		2a. Mailing Address		3. Date Incorporated or Qualified 12/22/1971		3a. Date of Last Report 06/25/1996	
21. Suite, Apt. #, etc.		26. One State Street Plaza Suite, Apt. #, etc.		4. FEI Number 39-1135174		Applied For Not Applicable	
22. City & State		27. Attn: Kevin Dolan		5. Certificate of Status Desired ☐		\$8.75 Additional Fee Required	
23. Zip		28. New York, New York		6. Election Campaign Financing Trust Fund Contribution ☐		\$5.00 May Be Added to Fees	
24. Country		29. 10004		30. USA		8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☒ No	

9. Name and Address of Current Registered Agent				10. Name and Address of New Registered Agent			
RENDAHL, MORRIS 8875 HIDDEN RIVER PKWY #300 TAMPA FL 33637				81. Name			
				82. Street Address (P.O. Box Number is Not Acceptable)			
				83.			
				84. City			
				FL 85. Zip Code			

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

12. OFFICERS AND DIRECTORS				13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12			
TITLE	PD	☐ DELETE	1.1 TITLE	V	☐ Change ☒ Addition		
NAME	LASSITER, PHILLIP B		1.2 NAME	Joseph V. Salzano			
STREET ADDRESS	1 STATE ST. PLZ., 17TH FL.		1.3 STREET ADDRESS	One State Street Plaza			
CITY-ST-ZIP	NEW YORK NY		1.4 CITY-ST-ZIP	New York, New York 10004	☐ Change ☒ Addition		
TITLE	DV	☐ DELETE	2.1 TITLE	D	☐ Change ☒ Addition		
NAME	GENADER, ROBERT J.		2.2 NAME	Michael A. Callen			
STREET ADDRESS	1 STATE ST. PLAZA		2.3 STREET ADDRESS	King Abdulaziz St., Box 3555			
CITY-ST-ZIP	NEW YORK NY		2.4 CITY-ST-ZIP	Jeddah, Saudi Arabia FN-21481	☐ Change ☒ Addition		
TITLE	T	☐ DELETE	3.1 TITLE	D	☐ Change ☒ Addition		
NAME	BIVONA, FRANK		3.2 NAME	Richard Dulude			
STREET ADDRESS	1 STATE ST. PLAZA 17TH		3.3 STREET ADDRESS	507 Welch Road			
CITY-ST-ZIP	NEW YORK NY		3.4 CITY-ST-ZIP	Corning, NY 14830	☐ Change ☒ Addition		
TITLE	D	☒ DELETE	4.1 TITLE	D	☐ Change ☒ Addition		
NAME	SCHREIHOFFER, JACK N.		4.2 NAME	W. Grant Gregory			
STREET ADDRESS	4 GYBE HO		4.3 STREET ADDRESS	531 Lake Avenue			
CITY-ST-ZIP	SALEM SC		4.4 CITY-ST-ZIP	Greenwich, CT 06830	☒ Change ☐ Addition		
TITLE	D	☐ DELETE	5.1 TITLE	D	☒ Change ☐ Addition		
NAME	O'NEIL, C RODERICK		5.2 NAME	C. Roderick O'Neil			
STREET ADDRESS	20 CHARTER OAK PLACE		5.3 STREET ADDRESS	526 Bedford Road			
CITY-ST-ZIP	HARTFORD CT		5.4 CITY-ST-ZIP	Mt. Kisco, NY 10549	☐ Change ☒ Addition		
TITLE	S	☐ DELETE	6.1 TITLE	D	☐ Change ☒ Addition		
NAME	COOKE, STEPHEN D.		6.2 NAME	Renso Leo Caporali			
STREET ADDRESS	1 STATE ST. PLZ., 17TH FL.		6.3 STREET ADDRESS	48 Solomon Pierce Rd.			
CITY-ST-ZIP	NEW YORK NY		6.4 CITY-ST-ZIP	Lexington, MA 02173			

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed or on an attachment with an address.

SIGNATURE: *Stephen D. Cooke* Stephen D. Cooke 4/28/97 313-668-0340

CR2E034 (9/96)