## 2002 Uniform Business Report (UBR)

DOCUMENT # 825913  1. Entity Name  THE GUARDIAN INSURANCE & ANNUITY COMPANY, INC.					Secretary of State			
Principal Place of Business 7 HANOVER SQUARE NEW YORK NY 10004		Mailing Address P.O. BOX 26195 LEHIGH VALLEY PA 18002-6195						
D 1 - 1 - 1 - 1		3. Mailing Address						
2. Principal Place of Business								
Suite, Apt. #, etc.		Suite, Apt. #, etc.			DO NOT WRITE IN THIS SPACE  4. FEI Number Applied For			
City & State		City & State		4.	13-2656036	Not Applicable		
Zip	Country		Country		Fee Req	Additional uired		
- 1	gistered Agent	Name	7. N	Name and Address of New Registered Agent				
INSURANCE COMMISSIONER			Street Address (P.O. Box Number is Not Acceptable)					
CAPITOL BUILDING TALLAHASSEE FL								
			City		FL Zip (	Code		
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.								
SIGNATURE Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)  DATE								
			FEE IS \$150.00 Fee will be \$550.00 to Department of S		10. Election Campaign Financing \$ Trust Fund Contribution.	5.00 May Be Ided to Fees		
11.	OFFICERS AND DIF		12.		L DDITIONS/CHANGES TO OFFICERS AND DIRECT	ORS IN 11		
NAME STREET ADDRESS CITY-ST-ZIP	SVP LONG, BRUCE C 7 HANOVER SQUARE NEW YORK NY 10004	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Chan			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP SULLIVAN, DONALD JR. 3900 BURGESS PL BETHLEHEM PA 18017	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Chan			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCEO SARGENT, JOSEPH D 7 HANOVER SQUARE NEW YORK NY 10004	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Chan			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPS CARUSO, JOSEPH 7 HANOVER SQUARE NEW YORK NY 10004	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP -		☐ Chan	ge Addition		
NAME STREET ADDRESS CITY-ST-ZIP	AVP FOREST, VIRGINIA 3900 BURGESS PL BETHLEHEM PA 18017	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Chan	ige 🗌 Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Chan	ige		

13. I hereby certify that the information supplied with this filing does not equality of the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with a other like empowered.

**SIGNATURE:** 

PHA Chment

GUARDIAN INSURANCE & ANNUITY, CO. 423/77

Director, Variable Product Issue Director, Variable Contracts Admn.

VP & Corp. Secretary

VP, Fixed Income Securities

Dir. Policy Forms

2<sup>nd</sup> VP, Business Development VP, Fixed Income Investments

2<sup>nd</sup> Vice President VP, Investments

Chief Medical Director

VP & Actuary

AVP, Variable Products Admn.

Second VP & Actuary, Group Pensions

VP, Investments

Treasurer

Asst. VP, Systems Support 2<sup>nd</sup> VP & Asst. Controller

AVP, Product Development & Distribution

Exec. VP & CIO

Exec. VP

2<sup>nd</sup> VP, Group Pensions Asst. Actuary, Investments

Director, Variable Contracts Customer Service

Exec. VP, Equity Products

VP, Fixed Income Credit Research

VP, Group Pensions Asst. Controller Director, Licensing

Asst. Corp. Secy & Secy Pro tem

VP & Controller VP & Counsel Managing Director

Director, Life Policy Conservation

President & CEO

SR. VP, Fixed Income Securities

VP. Administration

Director, Financial Reporting

Brenda L. Ahner Michael A. Brandle

Joseph Caruso Howard W. Chin Stacia Cooper

Peggy Coppola

Robert J. Crimmins, Jr.

Richard Cumiskey Thomas M. Donahue

Rodolfo E. Fidelino, MD Charles G. Fisher, FSA

Virginia Forest Jess S. Geller

Alexander M. Grant, Jr.

Earl C. Harry
Scott E. Horowitz
Paul Iannelli
Sarah A. Johnson

Frank J. Jones
Edward K. Kane
Ann. T. Kearney
Chi Ming Kwok

Jean Lee
Bruce C. Long
Howard G. Most
Dennis P. Mosticchio

James Nemeth
Lynda Nixon
Karen L. Olvany
Frank L. Pepe
Richard T. Potter, Jr.
Robert A. Reale
A. David Rokeach
Joseph D. Sargent

Thomas G. Sorell Donald P. Sullivan, Jr.

John Walter

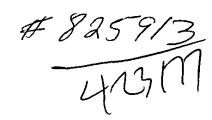
Affachment

Managing Director Managing Director Director Director Director Jonathan Jankus
Peter Liebst
John Gargana

Brian Keating

Michael Wheat





February 26, 2002

Division of Corporations Uniform Business Report Filings PO Box 1500 Tallahassee, FL 32302-1500

RE: Uniform Business Report (UBR) 2002

To Whom It May Concern:

On behalf of Guardian Insurance & Annuity Company I have enclosed the following documents pursuant to filing the Uniform Business Report for 2002.

- 1. Check made payable to the state for \$150.00
- 2. An executed 2002 Uniform Business Report (UBR)
- 3. A listing of GIAC Officers

Kindly acknowledge receipt of this filing by signing and returning the enclosed copy of this letter. If you have any questions, please contact me at 610-807-7713.

Sincerely,

Anita Green

Shita Green

Equity Admn. & Oversight Specialist

**Enclosures** 



February 26, 2002

Division of Corporations Uniform Business Report Filings PO Box 1500 Tallahassee, FL 32302-1500

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**Enclosures**