

**FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00**

**FILED**  
**May 12 1998 8:00am**  
**Secretary of State**

|  |   |   |
|--|---|---|
| <b>PROFIT CORPORATION</b><br><b>ANNUAL REPORT</b><br><b>1998</b> |  | FLORIDA DEPARTMENT OF STATE<br><b>Sandra B. Mortham</b><br>Secretary of State<br>DIVISION OF CORPORATIONS |
|--|---|---|

**DOCUMENT # 825913 (7)**  
 1. Corporation Name  
**THE GUARDIAN INSURANCE & ANNUITY COMPANY, INC.**



|  |  |
|--|--|
| Principal Place of Business<br><b>201 PARK AVENUE SOUTH<br/>NEW YORK NY 10003-1663</b> | Mailing Address<br><b>201 PARK AVENUE SOUTH<br/>NEW YORK NY 10003-1663</b> |
|--|--|

DO NOT WRITE IN THIS SPACE

|                                |            |                        |            |  |                               |
|--------------------------------|------------|------------------------|------------|--|-------------------------------|
| 2. Principal Place of Business |            | 2a. Mailing Address    |            | 3. Date Incorporated or Qualified<br><b>01/24/1972</b>   |                               |
| 21                             |            | 26                     |            | 4. FEI Number<br><b>13-2656036</b>   | Applied For<br>Not Applicable |
| 22 Suite, Apt. #, etc.         |            | 27 Suite, Apt. #, etc. |            | 5. Certificate of Status Desired <input type="checkbox"/> <b>\$8.75 Additional Fee Required</b>  |                               |
| 23 City & State                |            | 28 City & State        |            | 6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/> <b>\$5.00 May Be Added to Fees</b>   |                               |
| 24 Zip                         | 25 Country | 29 Zip                 | 30 Country | 8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input type="checkbox"/> Yes <input type="checkbox"/> No |                               |

|   |  |  |  |  |  |           |    |
|---|--|--|--|--|--|-----------|----|
| 9. Name and Address of Current Registered Agent   |  |  |  | 10. Name and Address of New Registered Agent |  |           |    |
| <b>INSURANCE COMMISSIONER<br/>                 CAPITOL BUILDING<br/>                 TALLAHASSEE FL</b> |  |  |  | 81   | Name   |           |    |
|   |  |  |  | 82   | Street Address (P.O. Box Number is Not Acceptable) |           |    |
|   |  |  |  | 83   |  |           |    |
|   |  |  |  | 84   | City   | <b>FL</b> | 85 |

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

| 12. OFFICERS AND DIRECTORS |   | 13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12 |  |
|----------------------------|---|---|--|
| TITLE                      | <b>EVD</b> <input type="checkbox"/> DELETE          | 1.1 TITLE   | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME                       | <b>SMITH, JOHN M</b>                                | 1.2 NAME  |  |
| STREET ADDRESS             | <b>201 PARK AVE S</b>                               | 1.3 STREET ADDRESS                                    |  |
| CITY-ST-ZIP                | <b>NEW YORK, NY 10003</b>                           | 1.4 CITY-ST-ZIP                                       |  |
| TITLE                      | <b>D</b> <input type="checkbox"/> DELETE            | 2.1 TITLE   | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME                       | <b>FUTIA, LEO R</b>                                 | 2.2 NAME  |  |
| STREET ADDRESS             | <b>201 PARK AVE S</b>                               | 2.3 STREET ADDRESS                                    |  |
| CITY-ST-ZIP                | <b>NEW YORK, NY 10003</b>                           | 2.4 CITY-ST-ZIP                                       |  |
| TITLE                      | <b>PCD</b> <input type="checkbox"/> DELETE          | 3.1 TITLE   | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME                       | <b>SARGENT, JOSEPH D</b>                            | 3.2 NAME  |  |
| STREET ADDRESS             | <b>201 PARK AVE S</b>                               | 3.3 STREET ADDRESS                                    |  |
| CITY-ST-ZIP                | <b>NEW YORK, NY 10003</b>                           | 3.4 CITY-ST-ZIP                                       |  |
| TITLE                      | <b>S</b> <input type="checkbox"/> DELETE            | 4.1 TITLE   | <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| NAME                       | <b>CARUSO, JOSEPH</b>                               | 4.2 NAME  | <b>VP &amp; Secretary</b>  |
| STREET ADDRESS             | <b>201 PARK AVE SOUTH</b>                           | 4.3 STREET ADDRESS                                    | <b>CARUSO, JOSEPH</b>  |
| CITY-ST-ZIP                | <b>NEW YORK NY 10003</b>                            | 4.4 CITY-ST-ZIP                                       | <b>201 PARK AVE SOUTH</b>  |
| TITLE                      | <b>D</b> <input type="checkbox"/> DELETE            | 5.1 TITLE   | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME                       | <b>FERRARA, ARTHUR V</b>                            | 5.2 NAME  |  |
| STREET ADDRESS             | <b>201 PARK AVE S</b>                               | 5.3 STREET ADDRESS                                    |  |
| CITY-ST-ZIP                | <b>NEW YORK, NY</b>                                 | 5.4 CITY-ST-ZIP                                       |  |
| TITLE                      | <b>V</b> <input checked="" type="checkbox"/> DELETE | 6.1 TITLE   | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME                       | <b>ALBERS, CHARLES E</b>                            | 6.2 NAME  |  |
| STREET ADDRESS             | <b>201 PARK AVE S</b>                               | 6.3 STREET ADDRESS                                    |  |
| CITY-ST-ZIP                | <b>NEW YORK, NY 10003</b>                           | 6.4 CITY-ST-ZIP                                       |  |

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Joseph A. Caruso* **Joseph A. Caruso** 4/20/98 212 598-8386

CR2E034 (10/97)

**State of Florida Annual Report - 1998**

**The Guardian Insurance & Annuity Company, Inc.**

**ADDITIONS**

**TITLE:** D  
**NAME:** DUTTER, PHILIP H.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

**TITLE:** V/D  
**NAME:** JONES, FRANK J.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

**TITLE:** V/ D  
**NAME:** KANE, EWARD K.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

**TITLE:** D  
**NAME:** HUTCHINGS, PETER L.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

**TITLE:** D  
**NAME:** WARREN, WILLIAM C.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

**TITLE:** V  
**NAME:** FISHER, CHARLES G.  
**STREET ADDRESS:** 201 PARK AVE S  
**CITY-ST-ZIP:** NEW YORK, NY 10003

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April 20, 1998

TITLE: V  
NAME: FRENTZ, WILLIAM C.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: HICKEY, JR., THOMAS R.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: JOHNSON, RYAN W.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: McDONNELL, EILEEN C.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: PEPE, FRANK L.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: SULLIVAN, JR., DONALD P.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: V  
NAME: POTTER, JR., RICHARD T.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: D  
NAME: FIDELINO, RODOLFO E.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

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April 20, 1998

TITLE: V  
NAME: SORELL, THOMAS G.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003

TITLE: T  
NAME: HARRY, EARL C.  
STREET ADDRESS: 201 PARK AVE S  
CITY-ST-ZIP: NEW YORK, NY 10003