

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 28, 2003 8:00 am
Secretary of State

04-28-2003 91302 029 ***150.00

DOCUMENT # 801594

1. Entity Name
RELIASTAR LIFE INSURANCE COMPANY OF NEW YORK



Principal Place of Business
**1000 WOODBURY ROAD
#102
WOODBURY NY 11797
US**

Mailing Address
**20 WASHINGTON AVE S.
ROUTE 1261
MINNEAPOLIS MN 55401**



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

☒ CHECK HERE IF MAKING CHANGES

4. FEI Number **53-0242530**

Applied For
Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER
CAPITOL BUILDING
TALLAHASSEE FL 32304**

Name **C-T Corporation System**
Street Address (P.O. Box Number is Not Acceptable)
1200 South Pine Island Road
City **Plantation** FL Zip Code **33324**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE *Andrea M. Hym*
Signature, typed or printed name of registered agent and title if applicable.

Andrea M. Hym, Asst. Secy
(NOTE: Registered Agent signature required when reinstating)

4/25/03
DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PCEO** ☐ Delete
NAME **GELDER, JAMES R**
STREET ADDRESS **20 WASHINGTON AVE. SO.**
CITY-ST-ZIP **MINNEAPOLIS MN 55401**

TITLE ☐ Change ☐ Addition
NAME **See attached list for add'l. Dtrs./Officers**

TITLE **T** ☐ Delete
NAME **PENDERGRASS, DAVID S**
STREET ADDRESS **5780 POWERS FERRY RD NW**
CITY-ST-ZIP **ATLANTA GA 30327**

TITLE **V P/T** ☒ Change ☐ Addition
NAME **Pendergrass, David S.**
STREET ADDRESS **Address Same**

TITLE **S** ☐ Delete
NAME **CLUDRAY-ENGELKE, PAULA**
STREET ADDRESS **20 WASHINGTON AVE. SOUTH**
CITY-ST-ZIP **MINNEAPOLIS MN 55401**

TITLE ☐ Change ☐ Addition
NAME

TITLE **EVCO** ☐ Delete
NAME **BONNEVILLE, WILLIAM D**
STREET ADDRESS **1000 WOODBURY RD STE 102**
CITY-ST-ZIP **WOODBURY NY 11797**

TITLE ☐ Change ☐ Addition
NAME

TITLE **AS** ☐ Delete
NAME **RENELT, LORALEE**
STREET ADDRESS **20 WASHINGTON AVE. SO.**
CITY-ST-ZIP **MINNEAPOLIS MN 55401**

TITLE **ReNelt, LORALEE A.** ☒ Change ☐ Addition
NAME

TITLE **CMOV** ☐ Delete
NAME **ROENFELDT, ROGER D**
STREET ADDRESS **1000 WOODBURY RD STE 102**
CITY-ST-ZIP **WOODBURY NY 11797**

TITLE ☐ Change ☐ Addition
NAME

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Loralee Renelt* **SIGNATURE REQUIRED** *Loralee Renelt* **4/24/03** **612-342-3514**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (10/02)

attachment 11084172
#801594

Active Officers/Directors by Company

ReliaStar Life Insurance Company of New York
1000 Woodbury Road
#208
Woodbury, NY 11797

Mailing Address:
20 Washington Avenue South
Route 1216
Minneapolis, MN 55401

<u>Director</u>	<u>Title</u>
Allan Baker	Director
Richard Michael Conley	Director
Robert William Crispin	Director
James Roderick Gelder	Director
Keith Gubbay	Director
Ulric St. Clair Haynes, Jr.	Director
Phillip Randall Lowery	Director
Fioravante Gerald Perrotta	Director
Stephen Joseph Preston	Director
Mark Alan Tullis	Director
Charles Bruce Updike	Director
Ross Mathieson Weale	Director
David Wheat	Director

<u>Officer</u>	<u>Title</u>
James Roderick Gelder	President and Chief Executive Officer
Michael Larry Emerson	President, ING Re, Group Reinsurance
William David Bonneville	Executive Vice President, Appointed Actuary, and Chief Administrative
Roger David Roenfeldt	Executive Vice President and Chief Marketing Officer
Allan Baker	Senior Vice President
Robert L. Francis	Senior Vice President
James Roy McInnis	Senior Vice President
Stephen Joseph Preston	Senior Vice President
Boyd George Combs	Senior Vice President, Tax
Pamela S. Anson	Vice President
Pamela M. Barcia	Vice President
Linda Beblo	Vice President
Jeffrey Steven Birkholz	Vice President
Jeoffrey Block	Vice President
Robert D. Bomgaars	Vice President
David Botler	Vice President
Robert Lee Brommer	Vice President
Timothy M. Carter	Vice President
Kevin Christensen	Vice President
Elizabeth Clifford	Vice President
John Craig Collins	Vice President
Brian D. Comer	Vice President
Patricia Marie Corbett	Vice President
Diane Marie Eder	Vice President
Shari Ann Enger	Vice President
Michael Farley	Vice President
Thomas John Gibb	Vice President
Marvin Kenneth Goergen	Vice President
Brian K. Haendiges	Vice President
Deborah Hancock	Vice President

Attachment 11624172
#801594

Active Officers/Directors by Company

Steven J. Haun	Vice President
R. Scott Hofstedt	Vice President
Patrick Juarez	Vice President
Audrey Ruth Kavanagh	Vice President
John Kelleher	Vice President
Daniel P. Mulheran	Vice President
Todd E. Nevenhoven	Vice President
Curtis Warren Olson	Vice President
Sherry Roxanne Olson	Vice President
Laurie Jane Rasanen	Vice President
M. Kathleen Reid	Vice President
Devin Larry Reimer	Vice President
John Anthony Ross	Vice President
David John Schmid	Vice President
Sande Sheppard	Vice President
David Anthony Sheridan	Vice President
Mark Alan Smith	Vice President
Diane G. Sylvester	Vice President
Irving L. Tang	Vice President
Laurie M. Tillinghast	Vice President
Margaret Brand Wall	Vice President
Lorena Elizabeth Weaver	Vice President
Christopher Robert Welp	Vice President
Robert Arthur Welvaert	Vice President
David Paul Wilken	Vice President
Mary Broesch	Vice President and Actuary
Bruce T. Campbell	Vice President and Actuary
Barbara Bullis Horst	Vice President and Actuary
Craig Alan Krogstad	Vice President and Actuary
Richard Lau	Vice President and Actuary
Mark Eugene McCarville	Vice President and Actuary
Alice Su	Vice President and Actuary
Lawrence Dale Taylor	Vice President and Actuary
Albert Joseph Zlogar	Vice President and Actuary
Frederick C. Litow	Vice President and Assistant Treasurer
Carol Sandra Stern	Vice President, Chief Compliance Officer and Assistant Secretary
John Franklin Todd	Vice President and General Counsel
Michael Harris	Vice President and Illustration Actuary
Lawrence Steven Nelson	Vice President and Illustration Actuary
William White	Vice President and Illustration Actuary
David Scott Pendergrass	Vice President and Treasurer
Renee Evelyn McKenzie	Vice President, Assistant Treasurer and Assistant Secretary
Cheryl Lynn Price	Vice President, Chief Financial Officer and Chief Accounting Officer
David Lee Jacobson	Vice President, Compliance and Assistant Secretary
Philip William Ricker	Vice President, Compliance and Assistant Secretary
Robin Lynn Angel	Vice President, Investments
Daniel J. Foley	Vice President, Investments
Maurice Melvin Moore	Vice President, Investments
Fred Cooper Smith	Vice President, Investments
Joseph J. Elmy	Vice President, Tax
Paula Cludray-Engelke	Secretary
Jane A. Boyle	Assistant Secretary
Linda H. Freitag	Assistant Secretary
Judith K. Ginter	Assistant Secretary
Christie M. Gutknecht	Assistant Secretary
Daniel F. Hinkel	Assistant Secretary

attachment

11024172
#801594

Active Officers/Directors by Company

William Hope	Assistant Secretary
Joseph D. Horan	Assistant Secretary
Ronald M. Kjelsberg	Assistant Secretary
Terri Wecker Maxwell	Assistant Secretary
John R. Oberg	Assistant Secretary
Wendy L. Paquin	Assistant Secretary
Loralee A. Renelt	Assistant Secretary
Rebecca A. Schoff	Assistant Secretary
Linda Ellen Senker	Assistant Secretary
Gerald Martin Sherman	Assistant Secretary
Kimberly J. Smith	Assistant Secretary
Diane Yell	Assistant Secretary
Glenn Allan Black	Tax Officer
Terry L. Owens	Tax Officer
James Taylor	Tax Officer
Pamela S. Anson	Authorized Representative, 1035 Exchange
Cassandra Bickel	Authorized Representative, 1035 Exchange
Jeoffrey Block	Authorized Representative, 1035 Exchange
Kevin Christensen	Authorized Representative, 1035 Exchange
Kristi Cooper	Authorized Representative, 1035 Exchange
Patricia Marie Corbett	Authorized Representative, 1035 Exchange
Lawrence Fortenberry	Authorized Representative, 1035 Exchange
Steven J. Haun	Authorized Representative, 1035 Exchange
Michelle R. Holmes	Authorized Representative, 1035 Exchange
Nancy Lay	Authorized Representative, 1035 Exchange
Todd E. Nevenhoven	Authorized Representative, 1035 Exchange
Tennille Payton	Authorized Representative, 1035 Exchange
Laurie Jane Rasanen	Authorized Representative, 1035 Exchange
Mark Alan Smith	Authorized Representative, 1035 Exchange
Christopher Robert Welp	Authorized Representative, 1035 Exchange

<u>Compliance Committee</u>	<u>Title</u>
Ross Mathieson Weale	Compliance Committee Chairman
Richard Michael Conley	Compliance Committee Member
Ulric St. Clair Haynes, Jr.	Compliance Committee Member
Fioravante Gerald Perrotta	Compliance Committee Member
Charles Bruce Updike	Compliance Committee Member

<u>Executive Committee</u>	<u>Title</u>
James Roderick Gelder	Executive Committee Chairman
Keith Gubbay	Executive Committee Member
Charles Bruce Updike	Executive Committee Member
Ross Mathieson Weale	Executive Committee Member

attachment

11024172

#861594

Active Officers/Directors by Company

Allan Baker

Business Address: ING Life Insurance and Annuity Company
Senior Vice President
151 Farmington Avenue
Hartford, CT 06156

Richard Conley

Business Address: ReliaStar Financial Corp
Senior Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Robert Crispin

Business Address: ING Investment Management LLC
Director
5780 Powers Ferry Road, NW
Atlanta, GA 30327

James Gelder

Business Address: ReliaStar Financial Corp
Vice President, ReliaStar Financial Markets
20 Washington Avenue South
Minneapolis, MN 55401

Keith Gubbay

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327

Ulric Haynes, Jr.

Business Address: Hofstra University
145 Hofstra University
Hempstead, NY 11549-1450

Phillip Lowery

Business Address: ING America Insurance Holdings, Inc.
General Manager and Chief Actuary
5780 Powers Ferry Road NW
Atlanta, GA 30327

Fioravante Perrotta

Business Address: N/A

Home Address: 4231 Crayton Road
Naples, FL 34103

Attachment

11024172
80594

Active Officers/Directors by Company

Stephen Preston

Business Address: Golden American Life Insurance Company
Senior Vice President
1475 Dunwoody Drive
West Chester, PA 19380

Mark Tullis

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327-4390

Charles Updike

Business Address: Schoeman, Updike & Kaufman
60 East 42nd Street
New York, NY 10165-0048

Ross Weale

Business Address: N/A Home Address: 56 Cove Road
South Salem, NY 10590

Michael Emerson

Business Address: ReliaStar Life Insurance Company
Second Vice President and
Corporate Actuary
20 Washington Avenue South
Minneapolis, MN 55401

William Bonneville

Business Address: ReliaStar Bankers Security Life Insurance Company
Vice President
1000 Woodbury Road
Woodbury, NY 11797

Roger Roenfeldt

Business Address: ReliaStar Life Insurance Company of New York
Executive Vice President & Chief Operating Officer
1000 Woodbury Road, Suite 102
Woodbury, NY 11797

Robert Francis

Business Address: 6140 Stone Ridge Mall Rd.
Suite 375
Pleasanton, CA 94588

Active Officers/Directors by Company

Attachment
801594
11084172

Boyd Combs

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327-4390

Jeffrey Birkholz

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

David Botler

Business Address: ReliaStar Life Insurance
Company of New York
Vice President
1000 Woodbury Road, Suite 102
Woodbury, NY 11797

Robert Brommer

Business Address: 20 Washington Avenue South
Route 8623
Minneapolis, MN 55401

Brian Comer

Home Address: 26 Aspenwood
Weatogue, CT 06089

Diane Eder

Business Address: ReliaStar Life Insurance
Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Michael Farley

Home Address: 16810 30th Avenue North
Plymouth, MN 55447

Thomas Gibb

Business Address: 4601 Fairfax Drive
Arlington, VA 22203

Marvin Goergen

Business Address: ReliaStar Reinsurance Group
Assistant Vice President and
Controller
20 Washington Avenue South
Minneapolis, MN 55401

attachment

11024172

801594

Active Officers/Directors by Company

Brian Haendiges

Home Address: 48 Andrew Drive
North Canton, CT 06059

Audrey Kavanagh

Business Address: ReliaStar Life Insurance
Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Curtis Olson

Business Address: 20 Washington Avenue South
Route 6147
Minneapolis, MN 55401

Sherry Olson

Business Address: ReliaStar Life Insurance
Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Laurie Rasanen

Business Address: 2000 21st Avenue NW
Minot, ND 58703

Devin Reimer

Business Address: Equitable of Iowa
909 Locust Street
Des Moines, IA 50306

David Schmid

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

Sande Sheppard

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

David Sheridan

Business Address: Security-Connecticut Life
Insurance Company
Assistant Vice President
20 Security Drive
Avon, CT 06001

attachment

11024172
801594

Active Officers/Directors by Company

Mark Smith

Home Address: 5916 Colorow Dr.
Morrison, CO 80465

Diane Sylvester

Business Address: Security-Connecticut Life
Insurance Company
Second Vice President, Policy
Admin
20 Security Drive
Avon, CT 06001

Margaret Wall

Business Address: ReliaStar Life Insurance
Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Lorena Weaver

Business Address: ReliaStar Life Insurance
Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Christopher Welp

Business Address: Equitable Life of Iowa
909 Locust Street
Des Moines, IA 50309

Robert Welvaert

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

David Wilken

Business Address: ReliaStar Life Insurance
Company
Second Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Barbara Horst

Business Address: ReliaStar Life Insurance
Company
Second Vice President and
Actuary
20 Washington Avenue South

Attachment

11084172
801594

Active Officers/Directors by Company

Minneapolis, MN 55401

Craig Krogstad

Business ReliaStar Life Insurance
Address: Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

Mark McCarville

Business 20 Washington Avenue South
Address: Minneapolis, MN 55401

Frederick Litow

Business ING Americas
Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327

Carol Stern

Business ReliaStar Life Insurance
Address: Company (United Service)
1501 M Street, N.W.
Suite 430
Washington, DC 20005

John Todd

Business 151 Farmington
Address: Hartford, CT 06156

Lawrence Nelson

Business ReliaStar Life Insurance
Address: Company
Assistant Vice President
20 Washington Avenue South
Minneapolis, MN 55401

David Pendergrass

Business 5780 Powers Ferry Road, NW
Address: Atlanta, GA 30327

Renee McKenzie

Business 5780 Powers Ferry Road, NW
Address: Atlanta, GA 30327-4390

Cheryl Price

Business 5780 Powers Ferry Rd NW
Address: Atlanta, GA 30327

attachment

11084172
#801594

Active Officers/Directors by Company

David Jacobson

Home Address: 22 Carriage Lane
Marlton, NJ 08053

Philip Ricker

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

Robin Angel

Home Address: 1766 Ridgewood Dr.
Atlanta, GA 30307

Daniel Foley

Business Address: 5780 Powers Ferry Road
Atlanta, GA 30327

Maurice Moore

Business Address: 5780 Powers Ferry Road NW
Atlanta, GA 30327

Fred Smith

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327

Joseph Elmy

Business Address: 151 Farmington Avenue
Hartford, CT 06516

Paula Cludray-Engelke

Business Address: 20 Washington Avenue South
Minneapolis, MN 55401

Loralee Renelt

Business Address: 20 Washington Avenue So.
Minneapolis, MN 55401

Rebecca Schoff

Business Address: 20 Washington Avenue S.
Minneapolis, MN 55401

Attachment 11024172
#801594

Active Officers/Directors by Company

Gerald Sherman

Business ReliaStar Life Insurance
Address: Company
Vice President and Associate
General Counsel
20 Washington Avenue South
Minneapolis, MN 55401

Glenn Black

Business 5780 Powers Ferry Road
Address: Atlanta, GA 30327-4390

Terry Owens

Business 5780 Powers Ferry Rd, NW
Address: Atlanta, GA 30327-4390

James Taylor

Business 5780 Powers Ferry Rd, NW
Address: Atlanta, GA 30327-4390