

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State  
DIVISION OF CORPORATIONS

FILED  
May 10, 1999 8:00 am  
Secretary of State

05-10-1999 90251 011 \*\*\*150.00

DOCUMENT # 435930

1. Corporation Name

~~INVESTMENT MANAGEMENT & RESEARCH, INC.~~

Name changed  
to:

RAYMOND JAMES FINANCIAL SERVICES, INC

Principal Place of Business

880 CARILLON PARKWAY  
PO BOX 12749  
ST PETERSBURG FL 33733-2749

Mailing Address

880 CARILLON PARKWAY  
PO BOX 12749  
ST PETERSBURG FL 33733-2749

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

09/12/1973

4. FEI Number

59-1531281

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

\$5.00 May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. Filed by Parent Company

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

PIPPENGER, LYNN  
880 CARILLON PARKWAY  
ST PETERSBURG, FL  
33716

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE PD  
NAME GREENE, M. ANTHONY  
STREET ADDRESS 1647 MT. VERNON ROAD  
CITY-ST-ZIP ATLANTA GA ☐ DELETE

1.1 TITLE CD  
1.2 NAME ☒ Change ☐ Addition  
1.3 STREET ADDRESS  
1.4 CITY-ST-ZIP

TITLE V  
NAME MCGOVERN, WILLIAM  
STREET ADDRESS 880 CARILLON PKWY  
CITY-ST-ZIP ST. PETERSBURG FL ☒ DELETE

2.1 TITLE PD  
2.2 NAME J. STEPHEN PUTNAM  
2.3 STREET ADDRESS 880 CARILLONPKWY  
2.4 CITY-ST-ZIP ST PETERSBURG, FL 33716 ☐ Change ☒ Addition

TITLE DV  
NAME AVERITT, RICHARD G.  
STREET ADDRESS 1647 MT. VERNON ROAD  
CITY-ST-ZIP ATLANTA GA ☐ DELETE

3.1 TITLE ☐ Change ☐ Addition  
3.2 NAME  
3.3 STREET ADDRESS  
3.4 CITY-ST-ZIP

TITLE TD  
NAME ZANK, DENNIS W.  
STREET ADDRESS 880 CARILLON PKWY  
CITY-ST-ZIP ST. PETERSBURG FL ☐ DELETE

4.1 TITLE ☐ Change ☐ Addition  
4.2 NAME  
4.3 STREET ADDRESS  
4.4 CITY-ST-ZIP

TITLE S  
NAME HAAS, MARY  
STREET ADDRESS 880 CARILLON PKWY  
CITY-ST-ZIP ST. PETERSBURG FL ☐ DELETE

5.1 TITLE ☐ Change ☐ Addition  
5.2 NAME  
5.3 STREET ADDRESS  
5.4 CITY-ST-ZIP

TITLE AT  
NAME TREMAINE, THOMAS R.  
STREET ADDRESS 880 CARILLON PKWY  
CITY-ST-ZIP ST PETERSBURG FL ☐ DELETE

6.1 TITLE ☐ Change ☐ Addition  
6.2 NAME  
6.3 STREET ADDRESS  
6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Dennis W. Zank*

Dennis W. Zank

Date

4/20/99

Daytime Phone #

7272-573-3800

CR2E034 (11/98)

**RAYMOND JAMES FINANCIAL SERVICES, INC.**

538343-90251-11  
#435930

**DIRECTORS: SOCIAL SECURITY #**

**ADDRESS**

Milton Anthony Greene	254-60-2339	Home:	8370 Jett Ferry Road Atlanta, GA 30350
		Business:	Three Ravinia Dr., Suite 1950 Atlanta, GA 30346
Richard G. Averitt	267-70-9101	Home:	7335 Chattahoochee Blvd. Atlanta, GA 30350
		Business:	Three Ravinia Dr., Suite 1950 Atlanta, GA 30346
Chet B. Helck	232-80-2441	Home:	4251 Chestnut Walk Marietta, GA 30066
		Business:	1647 Mt. Vernon Rd. Atlanta, GA 30338
Dennis W. Zank	374-58-1374	Home:	2618 Cove Cay Dr., Unit 303 Clearwater, FL 33760
		Business:	880 Carillon Parkway St. Petersburg, FL 33716
J. Stephen Putnam	010-32-3226	Home:	5 Linden Lane Palm Harbor, FL 34683
		Business:	880 Carillon Parkway St. Petersburg, FL 33716

**OFFICERS:**

Milton Anthony Greene	254-60-2339	Chairman	Same as Above
J. Stephen Putnam	010-32-3226	President	Same as Above
Chet B. Helck	232-80-2441	Executive Vice President	Same as Above
Dennis W. Zank	374-58-1374	Treasurer	Same as Above
Mary E. Haas	040-44-2689	Secretary	Home: 8034 35th Avenue No. St. Petersburg, FL 33710 Business: 880 Carillon Parkway St. Petersburg, FL 33716
Thomas R. Tremaine	262-27-6300	Assistant Treasurer	Home: 220 Rafael Blvd. NE St. Petersburg, FL 33704 Business: 880 Carillon Parkway St. Petersburg, FL 33716
Grace M. Palsha	302-32-1737	Asst. Secretary	Home: 10993 Nina St. Largo, FL 33778-3517 Business: 880 Carillon Parkway St. Petersburg, FL 33716

**STOCK:**

Common:	Authorized	5,000 shares	Incorporated 9/12/73 Florida
	Issued	4,000 shares	Federal ID: 59-1531281
	Par value -	\$1.00/share	Florida Charter # 435930

Principal Office Address: 880 Carillon Parkway  
PO BOX 12749  
St. Petersburg, FL 33733-2749