

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

FILED
Jan 25, 2012
Secretary of State

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.

Current Principal Place of Business:

701 TAMA STREET, BLDG B
MARION, IA 52302 US

New Principal Place of Business:

Current Mailing Address:

701 TAMA STREET, BLDG B
MARION, IA 52302 US

New Mailing Address:

FEI Number: 59-1145041 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired ()**

Name and Address of Current Registered Agent:

NRAI SERVICES, INC.
2731 EXECUTIVE PARK DRIVE
SUITE 4
WESTON, FL 33331 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: BERTHEL, THOMAS J
Address: 701 TAMA STREET, BLDG B
City-St-Zip: MARION, IA 52302

Title: PRES
Name: BRENDENGEN, RONALD O
Address: 701 TAMA STREET, BLDG B
City-St-Zip: MARION, IA 52302

Title: VPF
Name: GILKES, SETH R
Address: 701 TAMA STREET, BLDG B
City-St-Zip: MARION, IA 52302

Title: CCO
Name: DAVENPORT, SHELLEY R
Address: 701 TAMA STREET, BLDG B
City-St-Zip: MARION, IA 52302

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: SETH GILKES

CFO

01/25/2012

_____ Electronic Signature of Signing Officer or Director

_____ Date