

2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

FILED
Mar 29, 2010
Secretary of State

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.

Current Principal Place of Business:

2450 S. SHORE BLVD.
STE 400
LEAGUE CITY, TX 77573 US

New Principal Place of Business:

Current Mailing Address:

PO BOX 58969
HOUSTON, TX 77258 US

New Mailing Address:

FEI Number: 59-1145041 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired ()**

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: VP
Name: HARRINGTON, TERRELL B
Address: 2450 SOUTH SHORE BLVD. SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPS
Name: AXELSON, TERESA
Address: 2450 SOUTH SHORE BLVD., SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPCF
Name: KOELEMAY, BRENDA
Address: 2450 SOUTH SHORE BLVD., SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPCI
Name: DIXON, GORDON D
Address: 2450 SOUTH SHORE BLVD., SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

Title: PDCE
Name: MCCROSKEY, MICHAEL W
Address: 2450 SOUTH SHORE BLVD., SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

Title: AVPC
Name: GEIB, STEVEN D
Address: 2450 SOUTH SHORE BLVD., SUITE 400
City-St-Zip: LEAGUE CITY, TX 77573

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: STEVEN GEIB

AVPC

03/29/2010

_____ Electronic Signature of Signing Officer or Director

_____ Date