

# 2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

FILED  
Mar 26, 2009  
Secretary of State

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.

**Current Principal Place of Business:**

2450 S. SHORE BLVD.  
STE 400  
LEAGUE CITY, TX 77573 US

**New Principal Place of Business:**

**Current Mailing Address:**

PO BOX 58969  
HOUSTON, TX 77258 US

**New Mailing Address:**

FEI Number: 59-1145041      FEI Number Applied For ( )      FEI Number Not Applicable ( )      Certificate of Status Desired ( )

**Name and Address of Current Registered Agent:**

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

Election Campaign Financing Trust Fund Contribution ( ).

**OFFICERS AND DIRECTORS:**

Title: V ( ) Delete  
Name: UNGER, EMERSON V.,  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPS ( ) Delete  
Name: AXELSON, TERESA  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPCF ( ) Delete  
Name: KOELEMAY, BREANDA  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

Title: VPCI ( ) Delete  
Name: DIXON, GORDON D  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

Title: PDCE ( ) Delete  
Name: MCCROSKEY, MICHAEL W  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

Title: AVP ( ) Delete  
Name: GEIB, STEVEN D  
Address: 2450 SOUTH SHORE BLVD., SUITE 400  
City-St-Zip: LEAGUE CITY, TX 77573

**ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:**

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: STEVEN D. GEIB

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

AVP

03/26/2009

\_\_\_\_\_  
Date