

**2008 FOR PROFIT CORPORATION ANNUAL REPORT**

**FILED**  
**Mar 17, 2008 8:00 am**  
**Secretary of State**

03-17-2008 90016 008 \*\*\*150.00

**DOCUMENT # 287814**

1. Entity Name  
**SECURITIES MANAGEMENT AND RESEARCH, INC.**



Principal Place of Business  
 2450 S. SHORE BLVD.  
 STE 400  
 LEAGUE CITY, TX 77573 US

Mailing Address  
 PO BOX 58969  
 HOUSTON, TX 77258 US



03132008 No Chg-P CR2E034 (11/05)

**DO NOT WRITE IN THIS SPACE**

4. FEI Number  
 59-1145041

Applied For
Not Applicable

5. Certificate of Status Desired  **\$8.75 Additional Fee Required**

**6. Name and Address of Current Registered Agent**

CT CORPORATION SYSTEM  
 1200 S. PINE ISLAND ROAD  
 PLANTATION, FL 33324

**DO NOT WRITE IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE \_\_\_\_\_

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2008 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution.  **\$5.00 May Be Added to Fees**

**10. OFFICERS AND DIRECTORS**

TITLE NAME	V UNGER, EMERSON V.
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573
TITLE NAME	VPS AXELSON, TERESA
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573
TITLE NAME	VPCF KOELEMAY, BREANDA
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573
TITLE NAME	VPCI DIXON, GORDON D
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573
TITLE NAME	PDCE MCCROSKEY, MICHAEL W
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573
TITLE NAME	AVP GEIB, STEVEN D
STREET ADDRESS CITY-ST-ZIP	2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573

**DO NOT WRITE IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/12/08

Date

Daytime Phone #

# ATTACHMENT

40046869

# 287814

**Securities Management & Research, Inc.  
Directors and Officers  
Year 2008**

**Directors**

G.Richard Ferdinandsten	American National Insurance Company
David A. Behrens	American National Insurance Company
R.Eugene Lucas	American National Insurance Company
Michael W. McCroskey	Securities Management & Research, Inc.
Gordon D. Dixon	Securities Management & Research, Inc.

**Officers**

President - CEO	Michael W. McCroskey	Securities Management & Research, Inc.
Senior VP - Chief Investment Officer	Gordon D. Dixon	Securities Management & Research, Inc.
Vice President - Secretary	Teresa Axelson	Securities Management & Research, Inc.
Vice President - CFO	Brenda Koelemay	Securities Management & Research, Inc.
Vice President	T. Brett Harrington	Securities Management & Research, Inc.
Assistant VP	Steven D. Geib	Securities Management & Research, Inc.
Assistant VP	Vicki R. Douglas	Securities Management & Research, Inc.
Assistant VP	Sally F. Praker	Securities Management & Research, Inc.
Assistant Secretary	Michele S. Lord	Securities Management & Research, Inc.
Vice President	Ron Price	American National Insurance Company
Vice President	Ann Lemire	Securities Management & Research, Inc.
Vice President	Andy Duncan	Securities Management & Research, Inc.
Vice President	John Maidlow	Securities Management & Research, Inc.

**Address:**

American National Insurance Company  
One Moody Plaza  
Galveston, Texas 77550-7999

Securities Management & Research, Inc.  
2450 South Shore Blvd, Suite 400  
League City, Texas 77573

Phone: (281) 334-2469 x212