

**2006 FOR PROFIT CORPORATION ANNUAL REPORT**

**FILED**  
**Apr 03, 2006 8:00 am**  
**Secretary of State**

04-03-2006 90383 009 \*\*\*150.00

**60023168**



03292006 No Chg-P CR2E034 (11/05)

4. FEI Number 59-1145041	Applied For Not Applicable
-----------------------------	-------------------------------

5. Certificate of Status Desired  **\$8.75** Additional Fee Required

**DOCUMENT # 287814**

1. Entity Name  
**SECURITIES MANAGEMENT AND RESEARCH, INC.**



Principal Place of Business  
 2450 S. SHORE BLVD.  
 STE 400  
 LEAGUE CITY, TX 77573 US

Mailing Address  
 PO BOX 58969  
 HOUSTON, TX 77258 US

**DO NOT WRITE IN THIS SPACE**

**DO NOT WRITE IN THIS SPACE**

**6. Name and Address of Current Registered Agent**

CT CORPORATION SYSTEM  
 1200 S. PINE ISLAND ROAD  
 PLANTATION, FL 33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE \_\_\_\_\_

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2006 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

**10. OFFICERS AND DIRECTORS**

TITLE	V
NAME	UNGER, EMERSON V.
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573
TITLE	VPS
NAME	AXELSON, TERESA
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573
TITLE	VPCF
NAME	KOELEMAY, BREANDA
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573
TITLE	VPCI
NAME	DIXON, GORDON D
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573
TITLE	PDCE
NAME	MCCROSKEY, MICHAEL W
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573
TITLE	AVP
NAME	GEIB, STEVEN D
STREET ADDRESS	2450 SOUTH SHORE BLVD., SUITE 400
CITY-ST-ZIP	LEAGUE CITY, TX 77573

**DO NOT WRITE IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:** \_\_\_\_\_

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/29/06  
 Date

(281)334-2469  
 Daytime Phone #

X212

# ATTACHMENT

60023168  
#287814

**Securities Management & Research, Inc.  
Directors and Officers  
Year 2006**

**Directors**

G. Richard Ferdinandsten	American National Insurance Company
David A. Behrens	American National Insurance Company
R. Eugene Lucas	American National Insurance Company
Michael W. McCroskey	Securities Management & Research, Inc.
Gordon D. Dixon	Securities Management & Research, Inc.

**Officers**

President - CEO	Michael W. McCroskey	Securities Management & Research, Inc.
Senior VP - Chief Investment Officer	Gordon D. Dixon	Securities Management & Research, Inc.
Vice President - Secretary	Teresa Axelson	Securities Management & Research, Inc.
Vice President - CFO	Brenda Koelemay	Securities Management & Research, Inc.
Vice President	T. Brett Harrington	Securities Management & Research, Inc.
Assistant VP	Steven D. Geib	Securities Management & Research, Inc.
Assistant VP	Vicki R. Douglas	Securities Management & Research, Inc.
Assistant VP	Sally F. Praker	Securities Management & Research, Inc.
Assistant Secretary	Michele S. Lord	Securities Management & Research, Inc.
Vice President	Ron Price	American National Insurance Company
Vice President	Ann Lemire	Securities Management & Research, Inc.
Vice President	Andy Duncan	Securities Management & Research, Inc.
Vice President	John Maidlow	Securities Management & Research, Inc.

**Address:**

American National Insurance Company  
One Moody Plaza  
Galveston, Texas 77550-7999

Securities Management & Research, Inc.  
2450 South Shore Blvd, Suite 400  
League City, Texas 77573

Phone: (281) 334-2469 x212