


**2004 FOR PROFIT CORPORATION
ANNUAL REPORT**

FILED
Mar 30, 2004 08:00 AM
Secretary of State

DOCUMENT # 287814
1. Entity Name
SECURITIES MANAGEMENT AND RESEARCH, INC.



Principal Place of Business Mailing Address
2450 S. SHORE BLVD. PO BOX 58969
STE 400 HOUSTON, TX 77258 US
LEAGUE CITY, TX 77573 US

DO NOT WRITE IN THIS SPACE



03182004 No Chg-P CR2E034 (10/03)

4. FEI Number Applied For
59-1145041 Not Applicable

5. Certificate of Status Desired \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324

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IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00

9. Election Campaign Financing Trust Fund Contribution. \$5.00 May Be Added to Fees

000000099273
03/30/04-80008-004 150.00

| 10. OFFICERS AND DIRECTORS | |
|--|--|
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | V UNGER, EMERSON V. 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | VPS AXELSON, TERESA 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | VPCF KOELEMAY, BREANDA 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | VPCI DIXON, GORDON D 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | PDCE MCCROSKEY, MICHAEL W 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | AVP GEIB, STEVEN D 2450 SOUTH SHORE BLVD., SUITE 400 LEAGUE CITY, TX 77573 |

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12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(f), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Steven Geib 3/24/04 2813342469
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone # X212