2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 1. Entity Name				40	. 7	/\ Mr /		III am	
2002 UNIFORM BUSINESS REPORT (UBR) DOCUMENT # 287814 1. Entity Name SECURITIES MANAGEMENT AND RESEARCH INC						Apr 10, 2002 8:00 am Secretary of State 04-10-2002 90446 025 ***150.00			
SECURITIES MANAG	JEMENT AND HE	SEARCH INC		<u>J</u>					
Principal Place of Business Mailing Address									
2450 S. SHORE BLVD. STE 400 LEAGUE CITY TX 77573 US		PO POX 58969 HOUSTON TX 77258 US			80064226				
2. Principal Place of Business		3. Mailing Address			DO NOT WRITE IN THIS SPACE				
Suite, Apt. #, etc.		Suite, Apt. #, etc.							
City & State	City & State		4. F	El Number 59-1145041		Applied For Not Applicable			
Zip	Country	Zip Count		try	5. 0	Certificate of Status Desired	□ \$8.75 Fee Re	Additional quired	
6. Name and Address of Current Registered Agent				7. Name and Address of New Registered Agent					
				Name					
CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324			ļ	Street Address (P.O. Box Number is Not Acceptable)					
			ĺ	——					
			}	City			FL Zip	Code	
9. The above named entity of	uhmite this statement for th	as purpose of changing its	rogistore	ed office or r	egistored age	ent, or both, in the State of Florida			
b. The above numed chary s	TOTAL STATE STATE OF THE	to purpose or changing its	registere	od Onico or 1	cgistored agr	sin, or bour, in the orace of horida			
SIGNATURE Signature, typed or p	rinted name of registered agent and	title if applicable. (NOTE	Registered	Agent signature	required when re	instatina)	DATE		
		,							
9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)		FILE NOW!!! FEE IS \$150.00 After May 1, 2002 Fee will be \$550.00 Make Check Payable to Department of Str		0.00	 Election Campaign Finance Trust Fund Contribution. 	• – •	55.00 May Be added to Fees		
11.	OFFICERS AND DI	<u> </u>	12.	- partificint		DITIONS/CHANGES TO OFFICE	DO AND DIDEC	TOPS IN 11	
TITLE V	OFFICERS AND DI	Delete	TITLE			DITIONS/CHANGES TO OFFICE	Cha		
'			NAME					ngo [_] rodalon	
STREET ADDRESS 2450 SOUTI	H SHORE BLVD., SUITI IY TX 77573	E 400	IJ	ET ADDRESS -ST-ZIP					
TITLE VPS		☐ Delete	TITLE						
	AXELSON, TERESA						Cha	inge 🔲 Addition	
	h shore blvd., suite		11 /		se	$\stackrel{2}{=}$ $\stackrel{1}{\circ}$	i una	inge 🔝 Addition	
STREET ADDRESS 2450 SOUTI		≣ 400	ж	ET ADDRESS	se	Tarked	∐ Cna	ange [] Addition	
STREET ADDRESS 2450 SOUTI LEAGUE CIT			CITY-	ST-ZIP	se	ached			
STREET ADDRESS 2450 SOUTI LEAGUE CITY-ST-ZIP VPCF	TY TX 77573	■ 400 □ Delete	ж	ST-ZIP	all	ached	□ Cha		
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE VPCF NAME KOELEMAY,	TY TX 77573	☐ Delete	CITY- TITLE NAME STREE	ST-ZIP	all	Tached			
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT	TY TX 77573 BREANDA	Delete	CITY- TITLE NAME STREE	ST-ZIP	sei	ached	☐ Chi	inge 🔲 Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE VPCI VPCI	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573	☐ Delete	CITY- TITLE NAME STREE CITY-	ST-ZIP	sei	tached		inge 🔲 Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LEAGUE CIT LEAGUE CIT VPCI NAME NAME DIXON, GOF	TY TX 77573 BREANDA H SHORE BLVD., SUITE TY TX 77573 RDON D	Delete	CITY- TITLE NAME STREE CITY- TITLE NAME	ST-ZIP	sei	Tached	☐ Chi	inge 🔲 Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LEAGUE CIT LEAGUE CIT VPCI NAME STREET ADDRESS 2450 SOUTI LEAGUE CIT VPCI DIXON, GOF 2450 SOUTI	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573	Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE	ST-ZIP ET ADDRESS -ST-ZIP	sei	Tached	☐ Chi	inge 🔲 Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP TITLE VPCF KOELEMAY, 2450 SOUTI LEAGUE CIT VPCI DIXON, GOF STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LEAGUE CIT LEAGUE CIT LEAGUE CIT LEAGUE CIT LEAGUE CIT	TY TX 77573 BREANDA H SHORE BLVD., SUITE TY TX 77573 RDON D H SHORE BLVD., SUITE	Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	☐ Chi	inge Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LEAGUE CIT LEAGUE CIT UPCI DIXON, GOF STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LE	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573 RDON D H SHORE BLVD., SUITE IY TX 77573	Delete = 400 Delete = 400 Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME NAME	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	□ Cha	inge Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT LEAGUE CIT LEAGUE CIT LEAGUE CIT VPCI DIXON, GOF 2450 SOUTI LEAGUE CIT LEAGUE	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573 RDON D H SHORE BLVD., SUITE IY TX 77573 IY, MICHAEL W H SHORE BLVD., SUITE	Delete = 400 Delete = 400 Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME STREE	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	□ Cha	inge Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP TITLE NAME NAME STREET ADDRESS CITY-ST-ZIP TITLE NAME STREET ADDRESS CITY-ST-ZIP TITLE NAME STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME MCCROSKE STREET ADDRESS CITY-ST-ZIP LEAGUE CIT	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573 RDON D H SHORE BLVD., SUITE IY TX 77573 IY, MICHAEL W H SHORE BLVD., SUITE	Delete = 400 Delete = 400 Delete = 400	CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME CITY-	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	☐ Cha	ange Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP TITLE AVP 4450 SOUTH LEAGUE CIT MCCROSKE 2450 SOUTH LEAGUE CIT TITLE AVP	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573 RDON D H SHORE BLVD., SUITE IY TX 77573 EY, MICHAEL W H SHORE BLVD., SUITE IY TX 77573	Delete = 400 Delete = 400 Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME STREE	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	□ Cha	ange Addition ange Addition	
STREET ADDRESS CITY-ST-ZIP LEAGUE CIT TITLE NAME STREET ADDRESS CITY-ST-ZIP TITLE NAME AVP NAME STREET ADDRESS CITY-ST-ZIP TITLE NAME AVP NAME STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP CITY-ST-ZIP CIEB, STEVE	IY TX 77573 BREANDA H SHORE BLVD., SUITE IY TX 77573 RDON D H SHORE BLVD., SUITE IY TX 77573 EY, MICHAEL W H SHORE BLVD., SUITE IY TX 77573 EN D H SHORE BLVD., SUITE	Delete = 400 Delete = 400 Delete = 400 Delete	CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME STREE CITY- TITLE NAME STREE STREE STREE NAME STREE	ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP ET ADDRESS ST-ZIP	sei	Tached	☐ Cha	ange Addition	

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an accurate an appear of the corporation o

Attachment 12H2878/4 BUU64226

Securities Management & Research, Inc. Directors and Officers Year 2001

Directors

G.Richard Ferdinandsten
David A. Behrens
R.Eugene Lucas
Michael W. McCroskey
Ronald J. Welch
Gordon D. Dixon

American National Insurance Company American National Insurance Company American National Insurance Company Securities Management & Research, Inc. American National Insurance Company Securities Management & Research, Inc.

Officers

President - CEO Michael W. McCroskey Securities Management & Research, Inc. Senior VP - Chief Investment Officer Gordon D. Dixon Securities Management & Research, Inc. Vice President - Secretary Securities Management & Research, Inc. Teresa Axelson Vice President - CFO Brenda Koelemay Securities Management & Research, Inc. Vice President **Emerson Unger** Securities Management & Research, Inc. Vice President T.Brett Harrington Securities Management & Research, Inc. Assistant VP Steven D. Geib Securities Management & Research, Inc. Assistant VP Vicki R. Douglas Securities Management & Research, Inc. Assistant VP Sally F. Praker Securities Management & Research, Inc. Assistant Secretary Michele S. Lord Securities Management & Research, Inc.

Address:

American National Insurance Company One Moody Plaza Galveston, Texas 77550-7999

Securities Management & Research, Inc. 2450 South Shore Blvd, Suite 400 League City, Texas 77573

Phone: (281) 334-2469 x212